

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE**

In re:

WOODBRIIDGE GROUP OF COMPANIES, LLC, *et al.*,¹

Debtors.

Chapter 11

Case No. 17-12560 (KJC)

(Jointly Administered)

Ref. Docket No. 1277

**NOTICE OF FILING AMENDED STATEMENT OF FINANCIAL AFFAIRS OF
WOODBRIIDGE MORTGAGE INVESTMENT FUND 3a, LLC**

PLEASE TAKE NOTICE that, on April 15, 2018, Woodbridge Mortgage Investment Fund 3a, LLC (the “Debtor”) filed its Schedules of Assets and Liabilities (the “Schedules”) and Statement of Financial Affairs (the “SOFA”) [D.I. 1277].

PLEASE TAKE FURTHER NOTICE that the Debtor, pursuant to Rule 1009(a) of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”), hereby files an amended SOFA, attached hereto as Exhibit A (the “Amended SOFA”). The Amended SOFA: (i) amends the “date of payment” for SOFA Question 3 (90-day payments) and SOFA Question 4 (payments to insiders within one year); (ii) adds certain additional payments made in response to SOFA Questions 3 and 4; (iii) changes the “reason for payment or transfer” for certain payments listed in response to SOFA Questions 3 and 4; and (iv) removes Cathy Jones in response to SOFA Question 26 as a person who maintained the Debtor’s books and records with 2 years before filing the bankruptcy cases. To the extent that revisions as set forth in (ii) or (iii)

¹ The last four digits of Woodbridge Group of Companies, LLC’s federal tax identification number are 3603. The mailing address for Woodbridge Group of Companies, LLC is 14140 Ventura Boulevard #302, Sherman Oaks, California 91423. Due to the large number of debtors in these cases, which are being jointly administered for procedural purposes only, a complete list of the Debtors, the last four digits of their federal tax identification numbers, and their addresses are not provided herein. A complete list of this information may be obtained on the website of the Debtors’ noticing and claims agent at www.gardencitygroup.com/cases/WGC, or by contacting the undersigned counsel for the Debtors.

were made, those revisions are in **bold** for the convenience of parties in interests. The Amended SOFA, incorporating the Global Notes, supersedes and replaces, in its entirety, the previously filed SOFA.

PLEASE TAKE FURTHER NOTICE that, on April 5, 2018, the United States Bankruptcy Court for the District of Delaware entered an order [D.I. 911] (the “Bar Date Order”)² establishing certain claims bar dates in these chapter 11 cases. In light of the amendments made to the SOFA, the creditors affected by such amendments (collectively, the “Affected Parties”) must file a Proof of Claim prior to **October 3, 2018 at 5:00 p.m. (ET)** (the “Amended Schedules Bar Date”). The Affected Parties need not submit a Proof of Claim by the Amended Schedules Bar Date if any such party does not dispute the amount or characterization of its claim as listed in the Amended SOFA.

PLEASE TAKE FURTHER NOTICE that, along with this notice, Garden City Group, LLC (“GCG”), the Debtors’ claims and noticing agent, is serving on the parties whose claims have been amended in the Amended SOFA a copy of the Bar Date Notice and any applicable Amended SOFA. In addition, GCG is serving the Affected Parties with a proof of claim form in the form approved by the Court pursuant to the Bar Date Order. The personalized proof of claim form will reflect how the Affected Parties are listed in the Amended SOFA.

² Capitalized terms used but not otherwise defined herein shall have the meanings ascribed to them in the Bar Date Order.

Dated: September 12, 2018
Wilmington, Delaware

/s/ Donald J. Bowman, Jr.
YOUNG CONAWAY STARGATT & TAYLOR, LLP
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-and-

KLEE, TUCHIN, BOGDANOFF & STERN LLP
Kenneth N. Klee (*pro hac vice*)
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1999 Avenue of the Stars, 39th Floor
Los Angeles, California 90067

Counsel to the Debtors and Debtors in Possession

EXHIBIT A

Amended SOFA

Fill in this information to identify the case:

Debtor name Woodbridge Mortgage Investment Fund 3A, LLC

United States Bankruptcy Court for the: District of Delaware

Case number (if known): 17-12780 (KJC)

☒ Check if this is an amended filing

Official Form 207**Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy** 4/16

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

Part 1: Income**1. Gross revenue from business**

☐ None

| Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year | | | Sources of revenue Check all that apply | Gross revenue (before deductions and exclusions) |
|---|--|--|--|---|
| From the beginning of the fiscal year to filing date: | From <u>1/1/2017</u> MM / DD / YYYY | to Filing date | <input type="checkbox"/> Operating a business <input checked="" type="checkbox"/> Other <u>Interest</u> | \$ <u>27,229,976.80</u> |
| For prior year: | From <u>1/1/2016</u> MM / DD / YYYY | to <u>12/31/2016</u> MM / DD / YYYY | <input type="checkbox"/> Operating a business <input checked="" type="checkbox"/> Other <u>Interest</u> | \$ <u>11,460,456.29</u> |
| For the year before that: | From _____ MM / DD / YYYY | to _____ MM / DD / YYYY | <input type="checkbox"/> Operating a business <input type="checkbox"/> Other _____ | \$ _____ |

2. Non-business revenue

Include revenue regardless of whether that revenue is taxable. *Non-business income* may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

☐ None

| | | | Description of sources of revenue | Gross revenue from each source (before deductions and exclusions) |
|--|--|--|-----------------------------------|--|
| From the beginning of the fiscal year to filing date: | From <u>1/1/2017</u> MM / DD / YYYY | to Filing date | <u>Distribution</u> | \$ <u>190,452.32</u> |
| For prior year: | From <u>1/1/2016</u> MM / DD / YYYY | to <u>12/31/2016</u> MM / DD / YYYY | <u>Distribution</u> | \$ <u>3,553.32</u> |
| For the year before that: | From _____ MM / DD / YYYY | to _____ MM / DD / YYYY | _____ | \$ _____ |

Debtor Woodbridge Mortgage Investment Fund 3A, LLC
NameCase number (if known) 17-12780 (KJC)**Part 2:** List Certain Transfers Made Before Filing for Bankruptcy**3. Certain payments or transfers to creditors within 90 days before filing this case**

List payments or transfers—including expense reimbursements—to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$6,425. (This amount may be adjusted on 4/01/19 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

☐ None

| Creditor's name and address | Dates | Total amount or value | Reasons for payment or transfer <i>Check all that apply</i> |
|---|-------|-----------------------|---|
| 3.1. See Exhibit - Attachment Part 2.3 Creditor's name | | \$ 15,889,538.12 | <input type="checkbox"/> Secured debt <input type="checkbox"/> Unsecured loan repayments <input type="checkbox"/> Suppliers or vendors <input type="checkbox"/> Services <input type="checkbox"/> Other |
| 3.2. Creditor's name | | \$ | <input type="checkbox"/> Secured debt <input type="checkbox"/> Unsecured loan repayments <input type="checkbox"/> Suppliers or vendors <input type="checkbox"/> Services <input type="checkbox"/> Other |

4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$6,425. (This amount may be adjusted on 4/01/19 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. *Insiders* include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

☐ None

| Insider's name and address | Dates | Total amount or value | Reasons for payment or transfer |
|--|-------|-----------------------|---------------------------------|
| 4.1. See Exhibit - Attachment Part 13.30 Insider's name | | \$ | |
| Relationship to debtor | | | |
| 4.2. Insider's name | | \$ | |
| Relationship to debtor | | | |

Debtor Woodbridge Mortgage Investment Fund 3A, LLC
Name _____Case number (if known) 17-12780 (KJC)**8. Assignments and receivership**

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

☒ None

| Custodian's name and address | Description of the property | Value |
|------------------------------|-----------------------------|------------------------|
| _____ | _____ | \$ _____ |
| Custodian's name | Case title | Court name and address |
| _____ | _____ | _____ |
| Case number | Name | _____ |
| _____ | Date of order or assignment | _____ |
| _____ | _____ | _____ |

Part 4: Certain Gifts and Charitable Contributions**9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000**☒ None

| Recipient's name and address | Description of the gifts or contributions | Dates given | Value |
|------------------------------------|---|-------------|----------|
| 9.1. _____ | _____ | _____ | \$ _____ |
| Recipient's name | _____ | _____ | _____ |
| Recipient's relationship to debtor | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| 9.2. _____ | _____ | _____ | \$ _____ |
| Recipient's name | _____ | _____ | _____ |
| Recipient's relationship to debtor | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

Part 5: Certain Losses**10. All losses from fire, theft, or other casualty within 1 year before filing this case.**☒ None

| Description of the property lost and how the loss occurred | Amount of payments received for the loss If you have received payments to cover the loss, for example, from insurance, government compensation, or tort liability, list the total received. List unpaid claims on Official Form 106A/B (Schedule A/B: Assets – Real and Personal Property). | Date of loss | Value of property lost |
|--|---|--------------|------------------------|
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | _____ |

Debtor Woodbridge Mortgage Investment Fund 3A, LLC
NameCase number (if known) 17-12780 (KJC)**Part 6:** Certain Payments or Transfers**11. Payments related to bankruptcy**

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

☐ None

| | Who was paid or who received the transfer? | If not money, describe any property transferred | Dates | Total amount or value |
|------|--|---|-------|-----------------------|
| 11.1 | See Global Notes | | | \$ |
| | Address | | | |

Email or website address

Who made the payment, if not debtor?

| | Who was paid or who received the transfer? | If not money, describe any property transferred | Dates | Total amount or value |
|------|--|---|-------|-----------------------|
| 11.2 | | | | \$ |
| | Address | | | |

Email or website address

Who made the payment, if not debtor?

12. Self-settled trusts of which the debtor is a beneficiary

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.

Do not include transfers already listed on this statement.

☒ None

| | Name of trust or device | Describe any property transferred | Dates transfers were made | Total amount or value |
|--|-------------------------|-----------------------------------|---------------------------|-----------------------|
| | | | | \$ |
| | Trustee | | | |

Debtor Woodbridge Mortgage Investment Fund 3A, LLC
NameCase number (if known) 17-12780 (KJC)**13. Transfers not already listed on this statement**

List any transfers of money or other property—by sale, trade, or any other means—made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs. Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

☒ None

| Who received transfer? | Description of property transferred or payments received or debts paid in exchange | Date transfer was made | Total amount or value |
|--|--|------------------------|-----------------------|
| 13.1. _____ | _____ | _____ | \$ _____ |
| <div>Address</div> <div>_____</div> | | | |
| <div>Relationship to debtor</div> <div>_____</div> | | | |
| 13.2. _____ | _____ | _____ | \$ _____ |
| <div>Address</div> <div>_____</div> | | | |
| <div>Relationship to debtor</div> <div>_____</div> | | | |

Part 7: Previous Locations**14. Previous addresses**

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

☒ Does not apply

| Address | Dates of occupancy | |
|-------------|--------------------|-------|
| | From | To |
| 14.1. _____ | _____ | _____ |
| 14.2. _____ | _____ | _____ |

Debtor Woodbridge Mortgage Investment Fund 3A, LLC
NameCase number (if known) 17-12780 (KJC)**Part 11:** Property the Debtor Holds or Controls That the Debtor Does Not Own**21. Property held for another**

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

☒ None

| Owner's name and address | Location of the property | Description of the property | Value |
|--------------------------|--------------------------|-----------------------------|-------|
| Name | | | \$ |
| | | | |

Part 12: Details About Environmental Information

For the purpose of Part 12, the following definitions apply:

- *Environmental law* means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).
- *Site* means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.
- *Hazardous material* means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

Report all notices, releases, and proceedings known, regardless of when they occurred.

22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law? Include settlements and orders.

☒ No

☐ Yes. Provide details below.

| Case title | Court or agency name and address | Nature of the case | Status of case |
|-----------------|----------------------------------|--------------------|--|
| Case number | Name | | <input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded |
| | | | |

23. Has any governmental unit otherwise notified the debtor that the debtor may be liable or potentially liable under or in violation of an environmental law?

☒ No

☐ Yes. Provide details below.

| Site name and address | Governmental unit name and address | Environmental law, if known | Date of notice |
|-----------------------|------------------------------------|-----------------------------|----------------|
| Name | Name | | |
| | | | |

Debtor Woodbridge Mortgage Investment Fund 3A, LLC
 Name

Case number (if known) 17-12780 (KJC)

Name and address

If any books of account and records are unavailable, explain why

26c.2 Tobi Pratt
 Name
14140 Ventura Blvd, Suite 302, Sherman Oaks, CA 91423

26d List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

☒ None

Name and address

26d.1 _____
 Name

Name and address

26d.2 _____
 Name

27. Inventories

Have any inventories of the debtor's property been taken within 2 years before filing this case?

☒ No

☐ Yes. Give the details about the two most recent inventories.

Name of the person who supervised the taking of the inventory

Date of inventory

The dollar amount and basis (cost, market, or other basis) of each inventory

 \$ _____

Name and address of the person who has possession of inventory records

27.1. _____
 Name

Debtor Woodbridge Mortgage Investment Fund 3A, LLC
 Name _____

Case number (if known) 17-12780 (KJC)

Name of the person who supervised the taking of the inventory

Date of inventory

The dollar amount and basis (cost, market, or other basis) of each inventory

.....A.....

Name and address of the person who has possession of inventory records

27.2

Name _____

28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.

| Name | Address | Position | % of interest, if any |
|------------------------------|---|-----------------------------|-----------------------|
| Lawrence R. Perkins | 400 S Hope St, Suite 1050, Los Angeles, CA 90071 | Chief Restructuring Officer | |
| WGC Independent Manager, LLC | 14140 Ventura Blvd, Suite 302, Sherman Oaks, CA 91423 | Manager | |
| WMF Management, LLC | 14140 Ventura Blvd, Suite 302, Sherman Oaks, CA 91423 | Member | 100.00 |
| | | | |
| | | | |

29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?

☐ No

☒ Yes. Identify below.

| Name | Address | Position | Period during which position was held | |
|---------------------|---|----------|---------------------------------------|----------|
| | | | From | To |
| WMF Management, LLC | 14140 Ventura Blvd, Suite 302, Sherman Oaks, CA 91423 | Manager | 07/28/15 | 12/01/17 |
| | | | | |
| | | | | |
| | | | | |

30. Payments, distributions, or withdrawals credited or given to insiders

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

☐ No

☒ Yes. Identify below.

| Name and address of recipient | Amount of money or description and value of property | Dates | Reason for providing the value |
|--|--|-------|--------------------------------|
| 30.1 See Exhibit - Attachment Part 13.30 | | | |
| Name | | | |
| | | | |
| | | | |
| Relationship to debtor | | | |
| | | | |

Debtor Woodbridge Mortgage Investment Fund 3A, LLC
NameCase number (if known) 17-12780 (KJC)

| Name and address of recipient | Amount of money or description and value of property | Dates | Reason for providing the value | | | | |
|---|--|-------|--------------------------------|--------------------------------|--|-------|------------|
| 30.2 Name _____ | _____ | _____ | _____ | | | | |
| Relationship to debtor _____ | | _____ | _____ | | | | |
| <p>31. Within 6 years before filing this case, has the debtor been a member of any consolidated group for tax purposes?</p> <p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes. Identify below.</p> <table border="1"> <thead> <tr> <th>Name of the parent corporation</th> <th>Employer Identification number of the parent corporation</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>EIN: _____</td> </tr> </tbody> </table> | | | | Name of the parent corporation | Employer Identification number of the parent corporation | _____ | EIN: _____ |
| Name of the parent corporation | Employer Identification number of the parent corporation | | | | | | |
| _____ | EIN: _____ | | | | | | |
| <p>32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?</p> <p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes. Identify below.</p> <table border="1"> <thead> <tr> <th>Name of the pension fund</th> <th>Employer Identification number of the pension fund</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>EIN: _____</td> </tr> </tbody> </table> | | | | Name of the pension fund | Employer Identification number of the pension fund | _____ | EIN: _____ |
| Name of the pension fund | Employer Identification number of the pension fund | | | | | | |
| _____ | EIN: _____ | | | | | | |

Part 14:

 Signature and Declaration

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this *Statement of Financial Affairs* and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 09/12/2018
MM / DD / YYYY

X /s/ Bradley D. Sharp
Signature of individual signing on behalf of the debtor

Printed name Bradley D. Sharp

Position or relationship to debtor Chief Restructuring Officer

Are additional pages to *Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy* (Official Form 207) attached?

☐ No
☒ Yes

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|--|-----------------|-----------------------|--------------------------------|
| 3.1 ALAN GRABISCH RT | 09/28/2017 | \$2,375.00 | Noteholder Interest |
| | 09/28/2017 | \$4,166.67 | Unitholder Interest |
| | 10/31/2017 | \$2,375.00 | Noteholder Interest |
| | 10/31/2017 | \$4,166.67 | Unitholder Interest |
| | | <u>\$13,083.34</u> | |
| 3.2 ALAN SIDWELL | 09/28/2017 | \$708.33 | Noteholder Interest |
| | 09/28/2017 | \$5,833.33 | Unitholder Interest |
| | 10/31/2017 | \$141.67 | Noteholder Interest |
| | 10/31/2017 | \$5,833.33 | Unitholder Interest |
| | 10/31/2017 | <u>\$100,000.00</u> | Investor Payment |
| | | \$112,516.66 | |
| 3.3 ALEXANDER S & EMMA R ADUNA | 10/02/2017 | \$38.89 | Noteholder Interest |
| | 10/02/2017 | \$100,000.00 | Investor Payment |
| | | <u>\$100,038.89</u> | |
| 3.4 ALICE COLE FORTUNATO | 09/28/2017 | \$104.17 | Noteholder Interest |
| | 10/16/2017 | \$118.05 | Noteholder Interest |
| | 10/16/2017 | \$50,000.00 | Investor Payment |
| | 10/31/2017 | <u>\$104.17</u> | Noteholder Interest |
| | | \$50,326.39 | |
| 3.5 ANDREW KAGAN | 10/24/2017 | \$75,000.00 | Investor Payment |
| | | <u>\$75,000.00</u> | |
| 3.6 ANITA & MARK BEDOYA | 09/28/2017 | \$266.67 | Noteholder Interest |
| | 11/13/2017 | \$53.33 | Noteholder Interest |
| | 11/13/2017 | <u>\$40,000.00</u> | Investor Payment |
| | | \$40,320.00 | |
| 3.7 ANITA BEDOYA | 11/20/2017 | \$250.00 | Noteholder Interest |
| | 11/20/2017 | <u>\$250,000.00</u> | Investor Payment |
| | | \$250,250.00 | |
| 3.8 ANNE HEUER | 09/05/2017 | \$20.82 | Noteholder Interest |
| | 09/05/2017 | <u>\$50,000.00</u> | Investor Payment |
| | | \$50,020.82 | |
| 3.9 ANTHONY & CATHERINE ABBADESSA | 09/11/2017 | \$734.25 | Noteholder Interest |
| | 10/04/2017 | \$146.67 | Noteholder Interest |
| | 10/04/2017 | <u>\$40,000.00</u> | Investor Payment |
| | | \$40,880.92 | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|--|-----------------|-----------------------|--------------------------------|
| 3.10 ANTONINO & MARISA D'ERAMO | 09/28/2017 | \$1,250.00 | Unitholder Interest |
| | 09/28/2017 | \$2,916.67 | Unitholder Interest |
| | 10/31/2017 | \$1,250.00 | Unitholder Interest |
| | 10/31/2017 | \$2,916.67 | Unitholder Interest |
| | | <u>\$8,333.34</u> | |
| 3.11 ARCHIE R JR & DANI N BECKETT | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 09/28/2017 | \$4,166.67 | Unitholder Interest |
| | 10/31/2017 | \$833.33 | Unitholder Interest |
| | 10/31/2017 | \$4,166.67 | Unitholder Interest |
| | | <u>\$10,000.00</u> | |
| 3.12 ASHOK KRISHNAN & ARUNA ASHOK | 10/27/2017 | \$76.39 | Noteholder Interest |
| | 10/27/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,076.39</u> | |
| 3.13 BAD RIV BAND LK SUP CHIPPEWA INDIANS | 09/11/2017 | \$18,750.00 | Noteholder Interest |
| | 10/13/2017 | \$18,750.00 | Noteholder Interest |
| | 11/10/2017 | \$18,750.00 | Noteholder Interest |
| | | <u>\$56,250.00</u> | |
| 3.14 BARBARA LOIS FELDMAN | 09/11/2017 | \$30,000.00 | Noteholder Interest |
| | | <u>\$30,000.00</u> | |
| 3.15 BENNY SCOTT HULLINGER | 09/05/2017 | \$375.00 | Noteholder Interest |
| | 11/06/2017 | \$90,000.00 | Investor Payment |
| | | <u>\$90,375.00</u> | |
| 3.16 BILLY & SALLY MCNEESE | 10/25/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,000.00</u> | |
| 3.17 BLAIR & LINDA WHITING | 10/10/2017 | \$50,000.00 | Investor Payment |
| | | <u>\$50,000.00</u> | |
| 3.18 BOWERS FT | 09/25/2017 | \$147.33 | Noteholder Interest |
| | 09/25/2017 | \$52,000.00 | Investor Payment |
| | | <u>\$52,147.33</u> | |
| 3.19 BRENDA C CONNER | 09/28/2017 | \$3,333.33 | Unitholder Interest |
| | 10/31/2017 | \$3,333.33 | Unitholder Interest |
| | | <u>\$6,666.66</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|---------------------------------------|-----------------|-----------------------|--------------------------------|
| 3.20 CAMPBELL FAMILY IRREV TR | 09/05/2017 | \$520.83 | Noteholder Interest |
| | 10/05/2017 | \$270.83 | Noteholder Interest |
| | 10/23/2017 | \$66.67 | Noteholder Interest |
| | 10/23/2017 | \$60,000.00 | Investor Payment |
| | 11/13/2017 | \$270.83 | Noteholder Interest |
| | | <u>\$61,129.16</u> | |
| 3.21 CAROL HULLINGER | 09/05/2017 | \$166.67 | Noteholder Interest |
| | 11/06/2017 | \$40,000.00 | Investor Payment |
| | | <u>\$40,166.67</u> | |
| 3.22 CAROLYN GEORGE FLOYD | 10/12/2017 | \$100,000.00 | Investor Payment |
| | 10/19/2017 | \$816.61 | Noteholder Interest |
| | | <u>\$100,816.61</u> | |
| 3.23 CHARLES M & CAROLYN A LINDSELL | 09/29/2017 | \$30,000.00 | Investor Payment |
| | | <u>\$30,000.00</u> | |
| 3.24 CHRISTIAN LESTER | 10/10/2017 | \$1,250.00 | Noteholder Interest |
| | 10/10/2017 | \$500,000.00 | Investor Payment |
| | 10/19/2017 | \$2,083.25 | Noteholder Interest |
| | | <u>\$503,333.25</u> | |
| 3.25 CHRISTOPHER J WATSON | 09/05/2017 | \$114.58 | Noteholder Interest |
| | 10/04/2017 | \$114.58 | Noteholder Interest |
| | 11/07/2017 | \$15.28 | Noteholder Interest |
| | 11/07/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,244.44</u> | |
| 3.26 CLAYTON CAPITAL INVESTMENTS CORP | 09/28/2017 | \$416.67 | Noteholder Interest |
| | 09/28/2017 | \$833.33 | Noteholder Interest |
| | 09/28/2017 | \$2,006.48 | Noteholder Interest |
| | 10/24/2017 | \$733.33 | Noteholder Interest |
| | 10/31/2017 | \$412.50 | Noteholder Interest |
| | 10/31/2017 | \$416.67 | Noteholder Interest |
| | 10/31/2017 | \$833.33 | Noteholder Interest |
| | 10/31/2017 | \$1,833.33 | Noteholder Interest |
| | 10/31/2017 | \$2,006.48 | Noteholder Interest |
| | | <u>\$9,492.12</u> | |
| 3.27 CLAYTON NAKASONE | 09/26/2017 | \$30,000.00 | Investor Payment |
| | | <u>\$30,000.00</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|--|-----------------|-----------------------|--------------------------------|
| 3.28 COMERICA | 09/14/2017 | \$2,218.29 | Suppliers or Vendors |
| | 10/13/2017 | \$2,299.23 | Suppliers or Vendors |
| | 11/13/2017 | \$1,944.28 | Suppliers or Vendors |
| | | <u>\$6,461.80</u> | |
| 3.29 CRAIG A MCFOY | 09/28/2017 | \$750.00 | Noteholder Interest |
| | 09/28/2017 | \$1,250.00 | Noteholder Interest |
| | 09/28/2017 | \$2,000.00 | Noteholder Interest |
| | 10/31/2017 | \$750.00 | Noteholder Interest |
| | 10/31/2017 | \$1,250.00 | Noteholder Interest |
| | 10/31/2017 | \$2,000.00 | Noteholder Interest |
| | | <u>\$8,000.00</u> | |
| 3.30 CURTIS R COOK | 09/06/2017 | \$625.00 | Noteholder Interest |
| | 09/06/2017 | \$1,250.00 | Noteholder Interest |
| | 09/06/2017 | \$2,083.33 | Unitholder Interest |
| | 10/10/2017 | \$625.00 | Noteholder Interest |
| | 10/10/2017 | \$2,083.33 | Unitholder Interest |
| | 11/07/2017 | \$625.00 | Noteholder Interest |
| | 11/07/2017 | \$2,083.33 | Unitholder Interest |
| | | <u>\$9,374.99</u> | |
| 3.31 DANIEL B KORNBLUM FT | 09/28/2017 | \$625.00 | Noteholder Interest |
| | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 09/28/2017 | \$1,250.00 | Unitholder Interest |
| | 10/31/2017 | \$625.00 | Noteholder Interest |
| | 10/31/2017 | \$833.33 | Unitholder Interest |
| | 10/31/2017 | \$833.33 | Unitholder Interest |
| | 10/31/2017 | \$1,250.00 | Unitholder Interest |
| | | <u>\$7,083.32</u> | |
| 3.32 DANIEL J & LINDA J VALENTINO | 09/28/2017 | \$1,937.50 | Noteholder Interest |
| | 09/28/2017 | \$1,937.50 | Noteholder Interest |
| | 10/31/2017 | \$1,937.50 | Noteholder Interest |
| | 10/31/2017 | \$1,937.50 | Noteholder Interest |
| | | <u>\$7,750.00</u> | |
| 3.33 DARRELL & MATTIE SANDISON | 09/22/2017 | \$27.08 | Noteholder Interest |
| | 09/22/2017 | \$65,000.00 | Investor Payment |
| | | <u>\$65,027.08</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| | Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|------|---------------------------|-----------------|-----------------------|--------------------------------|
| 3.34 | DAVID MASAO MAYEDA | 09/06/2017 | \$2,700.00 | Noteholder Interest |
| | | 10/04/2017 | \$3,000.00 | Noteholder Interest |
| | | 10/31/2017 | \$3,000.00 | Noteholder Interest |
| | | | <u>\$8,700.00</u> | |
| 3.35 | DENIS G BIRGENHEIR | 09/05/2017 | \$125.00 | Noteholder Interest |
| | | 10/05/2017 | \$125.00 | Noteholder Interest |
| | | 11/07/2017 | \$30,000.00 | Investor Payment |
| | | | <u>\$30,250.00</u> | |
| 3.36 | DOLORES H DILLMAN | 09/06/2017 | \$291.67 | Noteholder Interest |
| | | 10/04/2017 | \$291.67 | Noteholder Interest |
| | | 10/27/2017 | \$10,000.00 | Investor Payment |
| | | | <u>\$10,583.34</u> | |
| 3.37 | DOMINIC E ABBADESSA | 09/11/2017 | \$458.90 | Noteholder Interest |
| | | 10/04/2017 | \$91.67 | Noteholder Interest |
| | | 10/04/2017 | \$25,000.00 | Investor Payment |
| | | | <u>\$25,550.57</u> | |
| 3.38 | DONALD & LEE ANN ABNEY | 09/28/2017 | \$145.83 | Noteholder Interest |
| | | 10/24/2017 | \$58.33 | Noteholder Interest |
| | | 10/24/2017 | \$25,000.00 | Investor Payment |
| | | | <u>\$25,204.16</u> | |
| 3.39 | DONALD A ASLETT | 11/08/2017 | \$197.17 | Noteholder Interest |
| | | 11/08/2017 | \$83,507.96 | Investor Payment |
| | | | <u>\$83,705.13</u> | |
| 3.40 | DORIT A DENEKE | 09/26/2017 | \$25,000.00 | Investor Payment |
| | | | <u>\$25,000.00</u> | |
| 3.41 | DR VIKRAM PATEL | 09/28/2017 | \$4,166.67 | Unitholder Interest |
| | | 10/31/2017 | \$4,166.67 | Unitholder Interest |
| | | | <u>\$8,333.34</u> | |
| 3.42 | DUANE D ODEGARD TR | 09/11/2017 | \$2,500.00 | Unitholder Interest |
| | | 10/06/2017 | \$2,500.00 | Unitholder Interest |
| | | 11/06/2017 | \$2,500.00 | Unitholder Interest |
| | | | <u>\$7,500.00</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|--|-----------------|-----------------------|--------------------------------|
| 3.43 EDWARD ANTONIO | 09/05/2017 | \$1,000.00 | Unitholder Interest |
| | 09/05/2017 | \$2,533.33 | Noteholder Interest |
| | 10/02/2017 | \$1,000.00 | Unitholder Interest |
| | 10/02/2017 | \$2,533.33 | Noteholder Interest |
| | 11/03/2017 | \$1,000.00 | Unitholder Interest |
| | 11/03/2017 | \$2,533.33 | Noteholder Interest |
| | | \$10,599.99 | |
| 3.44 EDWARD S JR & VALERIE A HICKEY | 09/05/2017 | \$2,500.00 | Unitholder Interest |
| | 10/16/2017 | \$2,500.00 | Unitholder Interest |
| | 11/03/2017 | \$2,500.00 | Unitholder Interest |
| | | \$7,500.00 | |
| 3.45 ELIZABETH KRABILL | 10/30/2017 | \$250.00 | Noteholder Interest |
| | 10/30/2017 | \$100,000.00 | Investor Payment |
| | | \$100,250.00 | |
| 3.46 EUGENE OEHLER | 09/22/2017 | \$25.00 | Noteholder Interest |
| | 09/22/2017 | \$75,000.00 | Investor Payment |
| | | \$75,025.00 | |
| 3.47 EVERS DAIRY | 09/25/2017 | \$2,500.00 | Noteholder Interest |
| | 10/13/2017 | \$2,500.00 | Noteholder Interest |
| | 11/20/2017 | \$2,500.00 | Noteholder Interest |
| | | \$7,500.00 | |
| 3.48 FINAL FRONTIER VENTURES LLC | 09/28/2017 | \$708.33 | Noteholder Interest |
| | 09/28/2017 | \$708.33 | Noteholder Interest |
| | 09/28/2017 | \$4,166.67 | Unitholder Interest |
| | 10/05/2017 | \$88.89 | Noteholder Interest |
| | 10/12/2017 | \$4,191.78 | Noteholder Interest |
| | 10/31/2017 | \$708.33 | Noteholder Interest |
| | 10/31/2017 | \$791.67 | Noteholder Interest |
| | 10/31/2017 | \$4,166.67 | Unitholder Interest |
| | | \$15,530.67 | |
| 3.49 FLORIDA FRADETTE IT | 09/14/2017 | \$20.83 | Noteholder Interest |
| | 09/14/2017 | \$50,000.00 | Investor Payment |
| | | \$50,020.83 | |
| 3.50 GEORGE EDWARD SARGENT | 09/28/2017 | \$208.33 | Noteholder Interest |
| | 10/26/2017 | \$50,000.00 | Investor Payment |
| | | \$50,208.33 | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | | Date of Payment | Total amount or value | Reason for payment or transfer |
|---------------------------|---------------------------------|-----------------|-----------------------------------|--------------------------------|
| 3.51 | GEORGE T & CHARLENE M IWAHIRO | 10/02/2017 | <u>\$50,000.00</u> \$50,000.00 | Investor Payment |
| 3.52 | GERALD A SMITH | 09/28/2017 | \$140.63 | Noteholder Interest |
| | | 09/28/2017 | \$150.00 | Noteholder Interest |
| | | 10/26/2017 | \$320.75 | Noteholder Interest |
| | | 10/26/2017 | \$320.75 | Noteholder Interest |
| | | 10/26/2017 | \$30,000.00 | Investor Payment |
| | | 10/26/2017 | \$30,000.00 | Investor Payment |
| | | 10/31/2017 | \$140.63 | Noteholder Interest |
| | | 10/31/2017 | \$150.00 | Noteholder Interest |
| | | | <u>\$61,222.76</u> | |
| 3.53 | GERALD D & ELIZABETH J SJAASTAD | 09/28/2017 | \$1,218.75 | Noteholder Interest |
| | | 09/28/2017 | \$2,500.00 | Noteholder Interest |
| | | 10/31/2017 | \$1,218.75 | Noteholder Interest |
| | | 10/31/2017 | \$2,500.00 | Noteholder Interest |
| | | | <u>\$7,437.50</u> | |
| 3.54 | GERALDINE M MALLON | 10/03/2017 | \$122.22 | Noteholder Interest |
| | | 10/03/2017 | \$40,000.00 | Investor Payment |
| | | | <u>\$40,122.22</u> | |
| 3.55 | GERARD ROMAIN MD IRREV TR | 09/28/2017 | \$4,166.67 | Unitholder Interest |
| | | 10/31/2017 | \$4,166.67 | Unitholder Interest |
| | | | <u>\$8,333.34</u> | |
| 3.56 | GLEN BUTER | 09/07/2017 | \$312.50 | Noteholder Interest |
| | | 10/02/2017 | \$312.50 | Noteholder Interest |
| | | 11/03/2017 | \$312.50 | Noteholder Interest |
| | | 11/24/2017 | \$50,000.00 | Investor Payment |
| | | | <u>\$50,937.50</u> | |
| 3.57 | GREGORY D CARROLL | 09/06/2017 | \$291.67 | Noteholder Interest |
| | | 09/06/2017 | \$416.67 | Unitholder Interest |
| | | 10/03/2017 | \$291.67 | Noteholder Interest |
| | | 10/03/2017 | \$416.67 | Unitholder Interest |
| | | 11/06/2017 | \$416.67 | Unitholder Interest |
| | | 11/20/2017 | \$50,000.00 | Investor Payment |
| | | | <u>\$51,833.35</u> | |
| 3.58 | GWENDOLYN BISSETTE | 09/19/2017 | \$25,000.00 | Investor Payment |
| | | 09/22/2017 | \$59.03 | Noteholder Interest |
| | | | <u>\$25,059.03</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|---|-----------------|-----------------------|--------------------------------|
| 3.59 HARRY BREYER RLT | 09/28/2017 | \$725.00 | Noteholder Interest |
| | 09/28/2017 | \$1,333.33 | Noteholder Interest |
| | 09/28/2017 | \$1,333.33 | Noteholder Interest |
| | 09/28/2017 | \$1,416.67 | Noteholder Interest |
| | 09/28/2017 | \$1,416.67 | Noteholder Interest |
| | 09/28/2017 | \$1,416.67 | Noteholder Interest |
| | 10/31/2017 | \$750.00 | Noteholder Interest |
| | 10/31/2017 | \$1,333.33 | Noteholder Interest |
| | 10/31/2017 | \$1,333.33 | Noteholder Interest |
| | 10/31/2017 | \$1,416.67 | Noteholder Interest |
| | 10/31/2017 | \$1,416.67 | Noteholder Interest |
| | 10/31/2017 | \$1,416.67 | Noteholder Interest |
| | | <u>\$15,308.34</u> | |
| | | | |
| 3.60 HEALTH CORE TR AMENDED 10/30/03 | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 09/28/2017 | \$1,666.67 | Unitholder Interest |
| | 10/12/2017 | \$833.33 | Unitholder Interest |
| | 10/12/2017 | \$1,666.67 | Unitholder Interest |
| | 11/10/2017 | \$833.33 | Unitholder Interest |
| | 11/10/2017 | \$1,666.67 | Unitholder Interest |
| | | <u>\$7,500.00</u> | |
| 3.61 HEIDI POLITI | 09/28/2017 | \$583.33 | Noteholder Interest |
| | 09/28/2017 | \$1,750.00 | Noteholder Interest |
| | 09/28/2017 | \$1,750.00 | Noteholder Interest |
| | 10/31/2017 | \$583.33 | Noteholder Interest |
| | 10/31/2017 | \$1,750.00 | Noteholder Interest |
| | 10/31/2017 | \$1,750.00 | Noteholder Interest |
| | | <u>\$8,166.66</u> | |
| 3.62 HERSHEY & FREDIA BOWERS | 09/28/2017 | \$5,833.33 | Noteholder Interest |
| | 10/31/2017 | \$5,833.33 | Noteholder Interest |
| | | <u>\$11,666.66</u> | |
| 3.63 HOLLY BEDELL | 09/28/2017 | \$900.00 | Noteholder Interest |
| | 11/06/2017 | \$180,000.00 | Investor Payment |
| | | <u>\$180,900.00</u> | |
| 3.64 HORIZON TR CO-FBO BRET R FULTON IRA | 09/05/2017 | \$3,333.33 | Unitholder Interest |
| | 10/04/2017 | \$3,333.33 | Unitholder Interest |
| | 11/03/2017 | \$3,333.33 | Unitholder Interest |
| | | <u>\$9,999.99</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| | Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|------|---|-----------------|-----------------------|--------------------------------|
| 3.65 | HORIZON TR CO-FBO DEBRA HARVEY IRA | 09/05/2017 | \$2,500.00 | Unitholder Interest |
| | | 10/04/2017 | \$2,500.00 | Unitholder Interest |
| | | 11/03/2017 | \$2,500.00 | Unitholder Interest |
| | | | <u>\$7,500.00</u> | |
| 3.66 | HORIZON TR CO-FBO MARK KERSTING IRA | 09/05/2017 | \$3,000.00 | Unitholder Interest |
| | | 10/04/2017 | \$3,000.00 | Unitholder Interest |
| | | 11/03/2017 | \$3,000.00 | Unitholder Interest |
| | | | <u>\$9,000.00</u> | |
| 3.67 | IRA SVCS TR CO-CFBO ADELAIDE M DEMEDEIROS | 09/05/2017 | \$132.50 | Noteholder Interest |
| | | 09/20/2017 | \$26,500.00 | Investor Payment |
| | | | <u>\$26,632.50</u> | |
| 3.68 | IRA SVCS TR CO-CFBO ANDREW M ANTONIO IRA | 09/05/2017 | \$1,333.33 | Noteholder Interest |
| | | 09/27/2017 | \$555.56 | Noteholder Interest |
| | | 09/27/2017 | \$250,000.00 | Investor Payment |
| | | 10/04/2017 | \$1,333.33 | Noteholder Interest |
| | | 11/06/2017 | \$1,333.33 | Noteholder Interest |
| | | | <u>\$254,555.55</u> | |
| 3.69 | IRA SVCS TR CO-CFBO CHARLES R PURVIS IRA | 09/05/2017 | \$2,500.00 | Unitholder Interest |
| | | 10/04/2017 | \$2,500.00 | Unitholder Interest |
| | | 11/06/2017 | \$2,500.00 | Unitholder Interest |
| | | | <u>\$7,500.00</u> | |
| 3.70 | IRA SVCS TR CO-CFBO DARYL J ST PIERRE IRA | 09/20/2017 | \$78.89 | Noteholder Interest |
| | | 09/20/2017 | \$284,000.00 | Investor Payment |
| | | | <u>\$284,078.89</u> | |
| 3.71 | IRA SVCS TR CO-CFBO DWIGHT L ATHERTON IRA | 10/13/2017 | \$566.28 | Noteholder Interest |
| | | 10/13/2017 | \$224,000.00 | Investor Payment |
| | | | <u>\$224,566.28</u> | |
| 3.72 | IRA SVCS TR CO-CFBO EARL EDDY IRA | 09/05/2017 | \$117.50 | Noteholder Interest |
| | | 09/25/2017 | \$28,200.00 | Investor Payment |
| | | | <u>\$28,317.50</u> | |
| 3.73 | IRA SVCS TR CO-CFBO GARY WAGGONER IRA | 11/08/2017 | \$89.72 | Noteholder Interest |
| | | 11/08/2017 | \$38,000.00 | Investor Payment |
| | | | <u>\$38,089.72</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|---|-------------------|-----------------------|--------------------------------|
| 3.74 IRA SVCS TR CO-CFBO HELEN A CASTRO IRA | 09/05/2017 | \$442.50 | Noteholder Interest |
| | 09/05/2017 | \$450.00 | Noteholder Interest |
| | 09/05/2017 | \$962.50 | Noteholder Interest |
| | 10/04/2017 | \$442.50 | Noteholder Interest |
| | 10/04/2017 | \$450.00 | Noteholder Interest |
| | 10/23/2017 | \$256.67 | Noteholder Interest |
| | 10/23/2017 | \$165,000.00 | Investor Payment |
| | 11/06/2017 | \$442.50 | Noteholder Interest |
| | 11/06/2017 | \$450.00 | Noteholder Interest |
| | | \$168,896.67 | |
| 3.75 IRA SVCS TR CO-CFBO JERRY D AINSWORTH IRA | 09/05/2017 | \$500.00 | Noteholder Interest |
| | 10/23/2017 | \$100,000.00 | Investor Payment |
| | | \$100,500.00 | |
| 3.76 IRA SVCS TR CO-CFBO JOSEFINA SALANGA IRA | 09/05/2017 | \$416.67 | Noteholder Interest |
| | 10/18/2017 | \$100,000.00 | Investor Payment |
| | | \$100,416.67 | |
| 3.77 IRA SVCS TR CO-CFBO LYNETTE EDDY IRA | 09/05/2017 | \$111.67 | Noteholder Interest |
| | 09/25/2017 | \$26,800.00 | Investor Payment |
| | | \$26,911.67 | |
| 3.78 IRA SVCS TR CO-CFBO MICHAEL D LORING IRA | 09/15/2017 | \$165.28 | Noteholder Interest |
| | 09/15/2017 | \$50,000.00 | Investor Payment |
| | | \$50,165.28 | |
| 3.79 IRA SVCS TR CO-CFBO ROBERT E HAZELTON IRA | 10/12/2017 | \$58.32 | Noteholder Interest |
| | 10/12/2017 | \$70,000.00 | Investor Payment |
| | | \$70,058.32 | |
| 3.80 IRA SVCS TR CO-CFBO ROBERT KRABILL IRA | 09/05/2017 | \$203.36 | Noteholder Interest |
| | 11/20/2017 | \$122.02 | Noteholder Interest |
| | 11/20/2017 | \$44,370.00 | Investor Payment |
| | | \$44,695.38 | |
| 3.81 IRMGARD HERRMANN | 09/15/2017 | \$16.67 | Noteholder Interest |
| | 09/15/2017 | \$50,000.00 | Investor Payment |
| | | \$50,016.67 | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|--|-----------------|-----------------------|--------------------------------|
| 3.82 JACK O WALKER | 09/08/2017 | \$833.33 | Unitholder Interest |
| | 09/08/2017 | \$1,666.67 | Unitholder Interest |
| | 10/06/2017 | \$833.33 | Unitholder Interest |
| | 10/06/2017 | \$1,666.67 | Unitholder Interest |
| | 11/10/2017 | \$833.33 | Unitholder Interest |
| | 11/10/2017 | \$1,666.67 | Unitholder Interest |
| | | <u>\$7,500.00</u> | |
| 3.83 JAMES A DUDA TA | 09/21/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,000.00</u> | |
| 3.84 JAMES A LOCHTEFELD | 09/06/2017 | \$1,687.50 | Noteholder Interest |
| | 09/06/2017 | \$4,166.67 | Unitholder Interest |
| | 09/06/2017 | \$5,000.00 | Noteholder Interest |
| | 10/06/2017 | \$1,687.50 | Noteholder Interest |
| | 10/06/2017 | \$4,166.67 | Unitholder Interest |
| | 10/06/2017 | \$5,000.00 | Noteholder Interest |
| | 11/07/2017 | \$1,687.50 | Noteholder Interest |
| | 11/07/2017 | \$4,166.67 | Unitholder Interest |
| | 11/07/2017 | \$5,000.00 | Noteholder Interest |
| | | <u>\$32,562.51</u> | |
| 3.85 JAMES JOHNSON | 09/28/2017 | \$3,333.33 | Noteholder Interest |
| | 10/31/2017 | \$3,333.33 | Noteholder Interest |
| | | <u>\$6,666.66</u> | |
| 3.86 JAMES M COFFEY & WENDY COURNOYER | 09/07/2017 | \$1,125.00 | Noteholder Interest |
| | 09/07/2017 | \$1,125.00 | Noteholder Interest |
| | 10/04/2017 | \$1,125.00 | Noteholder Interest |
| | 10/04/2017 | \$1,125.00 | Noteholder Interest |
| | 11/07/2017 | \$1,125.00 | Noteholder Interest |
| | 11/07/2017 | \$1,125.00 | Noteholder Interest |
| | | <u>\$6,750.00</u> | |
| 3.87 JANE TAURO & JOY S FERNANDES | 09/07/2017 | \$2,385.56 | Noteholder Interest |
| | 10/10/2017 | \$2,385.56 | Noteholder Interest |
| | 11/13/2017 | \$2,385.56 | Noteholder Interest |
| | | <u>\$7,156.68</u> | |
| 3.88 JANET G & MICHAEL K DAHLEN | 09/21/2017 | \$59.03 | Noteholder Interest |
| | 09/21/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,059.03</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|-------------------------------|-------------------|-----------------------|--------------------------------|
| 3.89 JANET V DUES | 09/07/2017 | \$437.50 | Noteholder Interest |
| | 10/13/2017 | \$116.67 | Noteholder Interest |
| | 10/13/2017 | \$100,000.00 | Investor Payment |
| | | \$100,554.17 | |
| 3.90 JARA GROUP II LLC | 09/28/2017 | \$916.67 | Noteholder Interest |
| | 09/28/2017 | \$750.00 | Noteholder Interest |
| | 09/28/2017 | \$375.91 | Noteholder Interest |
| | 09/28/2017 | \$375.67 | Noteholder Interest |
| | 09/28/2017 | \$375.00 | Noteholder Interest |
| | 09/28/2017 | \$375.00 | Noteholder Interest |
| | 09/28/2017 | \$472.91 | Noteholder Interest |
| | 09/28/2017 | \$541.67 | Noteholder Interest |
| | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 09/28/2017 | \$916.67 | Noteholder Interest |
| | 10/31/2017 | \$375.00 | Noteholder Interest |
| | 10/31/2017 | \$375.00 | Noteholder Interest |
| | 10/31/2017 | \$472.91 | Noteholder Interest |
| | 10/31/2017 | \$541.67 | Noteholder Interest |
| | 10/31/2017 | \$833.33 | Unitholder Interest |
| | 10/31/2017 | \$916.67 | Noteholder Interest |
| | 10/31/2017 | \$916.67 | Noteholder Interest |
| | 10/31/2017 | \$750.00 | Unitholder Interest |
| | 10/31/2017 | \$375.00 | Noteholder Interest |
| | | \$10,654.17 | |
| 3.91 JEANNE H NILSEN | 09/28/2017 | \$729.17 | Noteholder Interest |
| | 11/03/2017 | \$25,000.00 | Investor Payment |
| | | \$25,729.17 | |
| 3.92 JEFF SCHUSTER | 10/02/2017 | \$208.34 | Noteholder Interest |
| | 10/02/2017 | \$305.56 | Noteholder Interest |
| | 10/02/2017 | \$100,000.00 | Investor Payment |
| | 10/02/2017 | \$100,000.00 | Investor Payment |
| | | \$200,513.90 | |
| 3.93 JEFFREY SUN | 09/28/2017 | \$3,000.00 | Noteholder Interest |
| | 10/12/2017 | \$8,416.44 | Noteholder Interest |
| | 11/03/2017 | \$400,000.00 | Investor Payment |
| | 11/06/2017 | \$400.00 | Noteholder Interest |
| | | \$411,816.44 | |
| 3.94 JOEL REINERT | 09/12/2017 | \$250.00 | Noteholder Interest |
| | 09/13/2017 | \$50,000.00 | Investor Payment |
| | | \$50,250.00 | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|---|-------------------|-----------------------|--------------------------------|
| 3.95 JOHN AHERN | 09/27/2017 | \$50,000.00 | Investor Payment |
| | | \$50,000.00 | |
| 3.96 JOHN B O'BRYAN | 09/22/2017 | \$27.76 | Noteholder Interest |
| | 10/23/2017 | \$69.44 | Noteholder Interest |
| | 10/23/2017 | \$50,000.00 | Investor Payment |
| | 11/06/2017 | \$69.44 | Noteholder Interest |
| | 11/06/2017 | \$50,000.00 | Investor Payment |
| | | \$100,166.64 | |
| 3.97 JOHN J & JOAN E O'NEILL | 09/28/2017 | \$1,458.33 | Noteholder Interest |
| | 09/28/2017 | \$2,383.33 | Noteholder Interest |
| | 10/31/2017 | \$1,458.33 | Noteholder Interest |
| | 10/31/2017 | \$2,383.33 | Noteholder Interest |
| | | \$7,683.32 | |
| 3.98 JOLENE VARNEY | 09/25/2017 | \$3,333.33 | Noteholder Interest |
| | 09/25/2017 | \$3,333.33 | Noteholder Interest |
| | | \$6,666.66 | |
| 3.99 JOSE RETA | 10/10/2017 | \$243.75 | Noteholder Interest |
| | 10/10/2017 | \$117,000.00 | Investor Payment |
| | | \$117,243.75 | |
| 3.100 JUDY KAREN GOODIN | 09/26/2017 | \$125,000.00 | Investor Payment |
| | 10/12/2017 | \$125,000.00 | Investor Payment |
| | | \$250,000.00 | |
| 3.101 JUNE D LINDSEY | 09/28/2017 | \$5,000.00 | Noteholder Interest |
| | 10/31/2017 | \$5,000.00 | Noteholder Interest |
| | | \$10,000.00 | |
| 3.102 KAREN I CLARA | 09/08/2017 | \$91.67 | Noteholder Interest |
| | 09/08/2017 | \$30,000.00 | Investor Payment |
| | | \$30,091.67 | |
| 3.103 KAREN VLASAK | 09/05/2017 | \$125.00 | Noteholder Interest |
| | 10/02/2017 | \$25,000.00 | Investor Payment |
| | | \$25,125.00 | |
| 3.104 KENNETH D & BERNICE J RUTZ | 09/11/2017 | \$2,500.00 | Unitholder Interest |
| | 10/06/2017 | \$2,500.00 | Unitholder Interest |
| | 11/13/2017 | \$2,500.00 | Unitholder Interest |
| | | \$7,500.00 | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|----------------------------------|-----------------|-----------------------|--------------------------------------|
| 3.105 KERMIT L & NANCY D JUSCZAK | 09/07/2017 | \$687.50 | Noteholder Interest |
| | 10/06/2017 | \$687.50 | Noteholder Interest |
| | 11/03/2017 | \$137.50 | Noteholder Interest |
| | 11/03/2017 | \$150,000.00 | Investor Payment |
| | | <u>\$151,512.50</u> | |
| 3.106 KEVIN HUNT | 09/21/2017 | \$3,750.00 | Noteholder Interest |
| | 10/31/2017 | \$3,750.00 | Noteholder Interest |
| | 11/27/2017 | \$3,750.00 | Noteholder Interest |
| | | <u>\$11,250.00</u> | |
| 3.107 KIRK W CHUBKA | 10/02/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,000.00</u> | |
| 3.108 L2D2 LLC | 09/06/2017 | \$729.17 | Noteholder Interest |
| | 09/06/2017 | \$1,041.67 | Unitholder Interest |
| | 09/06/2017 | \$1,250.00 | Unitholder Interest |
| | 10/03/2017 | \$729.17 | Noteholder Interest |
| | 10/03/2017 | \$1,041.67 | Unitholder Interest |
| | 10/03/2017 | \$1,250.00 | Unitholder Interest |
| | 11/06/2017 | \$729.17 | Noteholder Interest |
| | 11/06/2017 | \$1,041.67 | Unitholder Interest |
| | 11/06/2017 | \$1,250.00 | Unitholder Interest |
| | | <u>\$9,062.52</u> | |
| 3.109 LARRY & PAMELA WINGATE | 09/14/2017 | \$25.00 | Noteholder Interest |
| | 09/14/2017 | \$50,000.00 | Investor Payment |
| | 09/28/2017 | \$270.83 | Noteholder Interest |
| | 10/31/2017 | \$270.83 | Noteholder Interest |
| | | <u>\$50,566.66</u> | |
| 3.110 LAURENCE J NAKASONE | 09/29/2017 | \$50,000.00 | Investor Payment |
| | | <u>\$50,000.00</u> | |
| 3.111 LAWRENCE J PAYNTER | 09/28/2017 | \$250.00 | Noteholder Interest Investor Payment |
| | 10/23/2017 | \$60,000.00 | |
| | | <u>\$60,250.00</u> | |
| 3.112 LEE H WESTERHEIDE | 09/18/2017 | \$583.33 | Noteholder Interest |
| | 09/18/2017 | \$1,750.00 | Noteholder Interest |
| | 10/06/2017 | \$583.33 | Noteholder Interest |
| | 10/06/2017 | \$1,750.00 | Noteholder Interest |
| | 11/17/2017 | \$583.33 | Noteholder Interest |
| | 11/17/2017 | \$1,750.00 | Noteholder Interest |
| | | <u>\$6,999.99</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|---|-----------------|-----------------------|--------------------------------|
| 3.113 LISA BALDWIN | 09/11/2017 | \$145.83 | Noteholder Interest |
| | 10/20/2017 | \$26.67 | Noteholder Interest |
| | 10/20/2017 | \$25,000.00 | Investor Payment |
| | 10/20/2017 | \$80,000.00 | Investor Payment |
| | | <u>\$105,172.50</u> | |
| 3.114 LORETTA & THOMAS HUIZING | 09/28/2017 | \$625.00 | Noteholder Interest |
| | 11/06/2017 | \$100,000.00 | Investor Payment |
| | | <u>\$100,625.00</u> | |
| 3.115 LORIE GORMAN | 09/20/2017 | \$66.66 | Noteholder Interest |
| | 09/20/2017 | \$80,000.00 | Investor Payment |
| | | <u>\$80,066.66</u> | |
| 3.116 LYNNE FRIEND | 09/06/2017 | \$375.00 | Noteholder Interest |
| | 09/06/2017 | \$700.00 | Noteholder Interest |
| | 09/06/2017 | \$750.00 | Noteholder Interest |
| | 09/06/2017 | \$750.00 | Noteholder Interest |
| | 09/06/2017 | \$750.00 | Noteholder Interest |
| | 09/06/2017 | \$750.00 | Noteholder Interest |
| | 09/06/2017 | \$1,250.00 | Noteholder Interest |
| | 09/06/2017 | \$1,500.00 | Noteholder Interest |
| | 10/06/2017 | \$375.00 | Noteholder Interest |
| | 10/06/2017 | \$750.00 | Noteholder Interest |
| | 10/06/2017 | \$750.00 | Noteholder Interest |
| | 10/06/2017 | \$1,500.00 | Noteholder Interest |
| | 11/10/2017 | \$375.00 | Noteholder Interest |
| | 11/10/2017 | \$750.00 | Noteholder Interest |
| | 11/10/2017 | \$750.00 | Noteholder Interest |
| | 11/10/2017 | \$1,500.00 | Noteholder Interest |
| | | <u>\$13,575.00</u> | |
| 3.118 MAINSTAR-FBO BARBARA L SPARKS | 09/22/2017 | \$152.78 | Noteholder Interest |
| | 09/22/2017 | \$50,000.00 | Investor Payment |
| | | <u>\$50,152.78</u> | |
| 3.119 MAINSTAR-FBO CHERI H WERTH | 09/20/2017 | <u>\$200,000.00</u> | Investor Payment |
| 3.120 MAINSTAR-FBO CLIFTON A JOHNSON | 09/20/2017 | <u>\$32,000.00</u> | Investor Payment |
| | | <u>\$32,000.00</u> | |

Part 2.3

Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|---|-----------------|-----------------------|---|
| 3.121 MAINSTAR-FBO DANIEL K GWINN | 09/27/2017 | \$106.89 | Noteholder Interest Investor Payment |
| | 09/27/2017 | \$91,600.00 | |
| | | <u>\$91,706.89</u> | |
| 3.122 MAINSTAR-FBO DANIEL YANG | 09/20/2017 | \$25.00 | Noteholder Interest Investor Payment |
| | 09/20/2017 | \$90,000.00 | |
| | | <u>\$90,025.00</u> | |
| 3.123 MAINSTAR-FBO DOUGLAS MICHAEL BELTZ | 11/17/2017 | <u>\$25,000.00</u> | Investor Payment |
| | | <u>\$25,000.00</u> | |
| 3.124 MAINSTAR-FBO JACK S RIZZO | 11/21/2017 | \$14,301.37 | Noteholder Interest |
| | | <u>\$14,301.37</u> | |
| 3.125 MAINSTAR-FBO JEANNE MARIE SPEZIA | 11/02/2017 | \$30,479.09 | Investor Payment |
| | | <u>\$30,479.09</u> | |
| 3.126 MAINSTAR-FBO JOHN KOBIERECKI | 09/08/2017 | \$104.16 | Noteholder Interest Investor Payment |
| | 09/08/2017 | \$250,000.00 | |
| | | <u>\$250,104.16</u> | |
| 3.127 MAINSTAR-FBO JOHN S NADOLNY JR | 09/29/2017 | \$27.78 | Noteholder Interest Investor Payment |
| | 09/29/2017 | \$25,000.00 | |
| | | <u>\$25,027.78</u> | |
| 3.128 MAINSTAR-FBO KERRY A WESNER | 10/23/2017 | \$30,000.00 | Investor Payment |
| | | <u>\$30,000.00</u> | |
| 3.129 MAINSTAR-FBO LINDA JOHNSON TW003399 | 09/05/2017 | \$29.17 | Noteholder Interest Investor Payment |
| | 09/06/2017 | \$70,000.00 | |
| | | <u>\$70,029.17</u> | |
| 3.130 MAINSTAR-FBO LORI GORMAN | 09/07/2017 | \$54,505.00 | Investor Payment |
| | | <u>\$54,505.00</u> | |
| 3.131 MAINSTAR-FBO RANDY HINCKS | 10/19/2017 | \$60,000.00 | Investor Payment |
| | | <u>\$60,000.00</u> | |
| 3.132 MAINSTAR-FBO ROBERT J DUENCKEL | 10/18/2017 | \$680.19 | Noteholder Interest Investor Payment |
| | 10/19/2017 | \$240,067.00 | |
| | | <u>\$240,747.19</u> | |
| 3.133 MAINSTAR-FBO RONALD R SMITH | 10/13/2017 | \$135,000.00 | Investor Payment |
| | | <u>\$135,000.00</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|-------------------------------------|-----------------|-----------------------|--------------------------------|
| 3.134 MAINSTAR-FBO SHARON L MILLER | 10/10/2017 | \$155.54 | Noteholder Interest |
| | 10/11/2017 | \$50,000.00 | Investor Payment |
| | | <u>\$50,155.54</u> | |
| 3.135 MAINSTAR-FBO SHERRY L COLLVER | 09/29/2017 | <u>\$28,000.00</u> | Investor Payment |
| | | \$28,000.00 | |
| 3.136 MAINSTAR-FBO STEPHEN WEINBERG | 09/22/2017 | \$153.47 | Noteholder Interest |
| | 09/22/2017 | \$50,000.00 | Investor Payment |
| | | <u>\$50,153.47</u> | |
| 3.137 MAINSTAR-FBO TERI LAMBERTZ | 09/19/2017 | <u>\$25,000.00</u> | Investor Payment |
| | | \$25,000.00 | |
| 3.138 MAINSTAR-FBO THOMAS CALIMLIM | 09/20/2017 | <u>\$40,000.00</u> | Investor Payment |
| | | \$40,000.00 | |
| 3.117 MAINSTAR-FBO | 10/02/2017 | \$213,370.33 | Noteholder Interest |
| | 11/02/2017 | \$208,882.55 | Noteholder Interest |
| | | <u>\$422,252.88</u> | |
| 3.139 MARTHA ONDRUS RT UDT 08/11/00 | 10/20/2017 | \$110.00 | Noteholder Interest |
| | 10/20/2017 | \$44,000.00 | Investor Payment |
| | | <u>\$44,110.00</u> | |
| 3.140 MARY ELLEN NUHN | 09/12/2017 | \$12.50 | Noteholder Interest |
| | 09/12/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,012.50</u> | |
| 3.141 MATT ONESKO | 11/20/2017 | <u>\$50,000.00</u> | Investor Payment |
| | | \$50,000.00 | |
| 3.142 MAX & SHELIA HUMBERT | 09/06/2017 | \$1,250.00 | Noteholder Interest |
| | 09/06/2017 | \$2,000.00 | Noteholder Interest |
| | 10/03/2017 | \$1,250.00 | Noteholder Interest |
| | 10/03/2017 | \$2,000.00 | Noteholder Interest |
| | 11/08/2017 | \$1,250.00 | Noteholder Interest |
| | 11/08/2017 | \$2,000.00 | Noteholder Interest |
| | | <u>\$9,750.00</u> | |
| 3.143 MERIC LLC | 09/21/2017 | \$50.00 | Noteholder Interest |
| | 09/21/2017 | \$100,000.00 | Investor Payment |
| | | <u>\$100,050.00</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|---|-----------------|-----------------------|--------------------------------|
| 3.144 MICHAEL & DENESE MARSHALL | 09/28/2017 | \$541.67 | Noteholder Interest |
| | 09/28/2017 | \$2,083.33 | Unitholder Interest |
| | 10/31/2017 | \$541.67 | Noteholder Interest |
| | 10/31/2017 | \$2,083.33 | Unitholder Interest |
| | 11/16/2017 | \$2,391.78 | Noteholder Interest |
| | | <u>\$7,641.78</u> | |
| 3.145 MICHAEL & JOYCE SKURICH | 09/05/2017 | \$1,000.00 | Noteholder Interest |
| | 10/06/2017 | \$200,000.00 | Investor Payment |
| | | <u>\$201,000.00</u> | |
| 3.146 MICHAEL H CASEY | 09/05/2017 | \$100,000.00 | Investor Payment |
| | 09/28/2017 | \$250.00 | Noteholder Interest |
| | 10/31/2017 | \$250.00 | Noteholder Interest |
| | | <u>\$100,500.00</u> | |
| 3.147 MICHAEL WEINER MD PA PROF SHARING PLN | 09/06/2017 | \$1,875.00 | Noteholder Interest |
| | 09/06/2017 | \$1,875.00 | Noteholder Interest |
| | 09/06/2017 | \$2,083.33 | Noteholder Interest |
| | 09/06/2017 | \$2,629.31 | Noteholder Interest |
| | 10/04/2017 | \$1,875.00 | Noteholder Interest |
| | 10/04/2017 | \$1,875.00 | Noteholder Interest |
| | 10/04/2017 | \$2,083.33 | Noteholder Interest |
| | 10/04/2017 | \$2,629.31 | Noteholder Interest |
| | 11/06/2017 | \$1,875.00 | Noteholder Interest |
| | 11/06/2017 | \$1,875.00 | Noteholder Interest |
| | 11/06/2017 | \$2,083.33 | Noteholder Interest |
| | 11/06/2017 | \$2,629.31 | Noteholder Interest |
| | | <u>\$25,387.92</u> | |
| 3.148 MONICA GREENE | 09/28/2017 | \$566.67 | Noteholder Interest |
| | 11/10/2017 | \$75.56 | Noteholder Interest |
| | 11/10/2017 | \$80,000.00 | Investor Payment |
| | | <u>\$80,642.23</u> | |
| 3.149 NELSON FLP | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 09/28/2017 | \$1,666.67 | Unitholder Interest |
| | 09/28/2017 | \$2,500.00 | Unitholder Interest |
| | 09/28/2017 | \$3,333.33 | Unitholder Interest |
| | 10/31/2017 | \$833.33 | Unitholder Interest |
| | 10/31/2017 | \$1,666.67 | Unitholder Interest |
| | 10/31/2017 | \$2,500.00 | Unitholder Interest |
| | 10/31/2017 | \$3,333.33 | Unitholder Interest |
| | | <u>\$16,666.66</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|------------------------------------|-----------------|-----------------------|--------------------------------|
| 3.150 NORMA WEINER LT DTD 11/13/13 | 09/18/2017 | \$1,875.00 | Noteholder Interest |
| | 09/18/2017 | \$1,875.00 | Noteholder Interest |
| | 10/12/2017 | \$1,875.00 | Noteholder Interest |
| | 10/12/2017 | \$1,875.00 | Noteholder Interest |
| | 11/10/2017 | \$1,875.00 | Noteholder Interest |
| | 11/10/2017 | \$1,875.00 | Noteholder Interest |
| | 11/21/2017 | \$1,437.50 | Noteholder Interest |
| | | <u>\$12,687.50</u> | |
| 3.151 NORMAN R PEACHEY | 09/13/2017 | \$100,000.00 | Investor Payment |
| | | <u>\$100,000.00</u> | |
| 3.152 OLIVER ANDREW ENTINE 1984 TR | 09/11/2017 | \$3,500.00 | Noteholder Interest |
| | 10/19/2017 | \$3,500.00 | Noteholder Interest |
| | 11/21/2017 | \$3,500.00 | Noteholder Interest |
| | | <u>\$10,500.00</u> | |
| 3.153 OLIVIA G & LUIS G SAN MIGUEL | 09/28/2017 | \$5,000.00 | Unitholder Interest |
| | 10/31/2017 | \$5,000.00 | Unitholder Interest |
| | | <u>\$10,000.00</u> | |
| 3.154 PAULINE A CONEY I | 10/05/2017 | \$208.33 | Noteholder Interest |
| | 10/05/2017 | \$100,000.00 | Investor Payment |
| | | <u>\$100,208.33</u> | |
| 3.155 PETER EERON | 09/05/2017 | \$125.00 | Noteholder Interest |
| | 10/27/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,125.00</u> | |
| 3.156 PHILIP P QUE HAMMOND HIRCH | 09/21/2017 | \$26,000.00 | Investor Payment |
| | | <u>\$26,000.00</u> | |
| 3.157 PIERRE NAHARIE PERRIER IRENE | 09/25/2017 | \$1,003.33 | Noteholder Interest |
| | 09/25/2017 | \$1,312.50 | Noteholder Interest |
| | 11/02/2017 | \$1,003.33 | Noteholder Interest |
| | 11/02/2017 | \$1,312.50 | Noteholder Interest |
| | 11/06/2017 | \$1,003.33 | Noteholder Interest |
| | 11/06/2017 | \$1,312.50 | Noteholder Interest |
| | | <u>\$6,947.19</u> | |
| 3.158 PROV. TR GP- | 09/06/2017 | \$362,065.15 | Noteholder Interest |
| | 10/11/2017 | \$354,964.75 | Noteholder Interest |
| | 11/02/2017 | \$355,558.39 | Noteholder Interest |
| | | <u>\$1,072,588.29</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | | Date of Payment | Total amount or value | Reason for payment or transfer |
|---------------------------|--|-----------------|-----------------------|--------------------------------|
| 3.159 | PROV. TR GP-FBO ANTHONY S COSSU IRA | 11/08/2017 | \$10,000.00 | Investor Payment |
| | | | \$10,000.00 | |
| 3.160 | PROV. TR GP-FBO CAHERINE IAM IRA | 09/11/2017 | \$31.25 | Noteholder Interest |
| | | 09/11/2017 | \$75,000.00 | |
| | | | \$75,031.25 | Investor Payment |
| 3.161 | PROV. TR GP-FBO CHRIS FOX IRA | 10/20/2017 | \$33.33 | Noteholder Interest |
| | | 10/20/2017 | \$50,000.00 | |
| | | | \$50,033.33 | Investor Payment |
| 3.162 | PROV. TR GP-FBO CON HARVEY IRA | 11/17/2017 | \$39,000.00 | Investor Payment |
| | | | \$39,000.00 | |
| 3.163 | PROV. TR GP-FBO CRAIG A MCFOY IRA | 11/02/2017 | \$125.00 | Noteholder Interest |
| | | 11/02/2017 | \$125,000.00 | |
| | | | \$125,125.00 | Investor Payment |
| 3.164 | PROV. TR GP-FBO DARYL E TRESNER ROTH IRA | 11/08/2017 | \$69.52 | Noteholder Interest |
| | | 11/08/2017 | \$35,000.00 | |
| | | | \$35,069.52 | Investor Payment |
| 3.165 | PROV. TR GP-FBO DAVID ASMUS IRA | 11/08/2017 | \$66.67 | Noteholder Interest |
| | | 11/08/2017 | \$100,000.00 | |
| | | | \$100,066.67 | Investor Payment |
| 3.166 | PROV. TR GP-FBO AHERMANEN IRA | 09/25/2017 | \$71,500.00 | Investor Payment |
| | | | \$71,500.00 | |
| 3.167 | PROV. TR GP-FBO EORAH MURPHY IRA | 09/25/2017 | \$25.00 | Noteholder Interest |
| | | 09/25/2017 | \$50,000.00 | |
| | | | \$50,025.00 | Investor Payment |
| 3.168 | PROV. TR GP-FBO EAH A ANOY IRA | 09/07/2017 | \$163.68 | Noteholder Interest |
| | | 09/07/2017 | \$97,000.00 | |
| | | | \$97,563.68 | Investor Payment |
| 3.169 | PROV. TR GP-FBO ERIN AN IRA | 10/20/2017 | \$57,180.00 | Investor Payment |
| | | | \$57,180.00 | |
| 3.170 | PROV. TR GP-FBO ERA A MIH IRA | 10/27/2017 | \$225,871.01 | Investor Payment |
| | | 11/02/2017 | \$1,93.28 | |
| | | | \$227,364.29 | Noteholder Interest |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | | Date of Payment | Total amount or value | Reason for payment or transfer |
|---------------------------|---|-----------------|-----------------------|---|
| 3.171 | PROV. TR GP-FBO GRANT A MACLEAN ROTH IRA | 10/17/2017 | \$8.58 | Noteholder Interest Investor Payment |
| | | 10/17/2017 | \$203,000.00 | |
| | | | <u>\$203,008.58</u> | |
| 3.172 | PROV. TR GP-FBO GRANT A MACLEAN ROTH IRA | 11/22/2017 | \$24,000.00 | Investor Payment |
| | | | <u>\$24,000.00</u> | |
| 3.173 | PROV. TR GP-FBO JULIO HERDOCIA IRA | 10/20/2017 | \$38.89 | Noteholder Interest Investor Payment |
| | | 10/20/2017 | \$100,000.00 | |
| | | | <u>\$100,038.89</u> | |
| 3.174 | PROV. TR GP-FBO JULIO HERDOCIA IRA | 11/08/2017 | \$45,600.00 | Investor Payment |
| | | | <u>\$45,600.00</u> | |
| 3.175 | PROV. TR GP-FBO KERSTIN RODRIGUEZ IRA | 11/08/2017 | \$32.83 | Noteholder Interest Investor Payment |
| | | 11/08/2017 | \$49,250.00 | |
| | | | <u>\$49,282.83</u> | |
| 3.176 | PROV. TR GP-FBO KERSTIN RODRIGUEZ IRA | 09/11/2017 | \$55.56 | Noteholder Interest Investor Payment |
| | | 09/11/2017 | \$0,000.00 | |
| | | | <u>\$0,055.56</u> | |
| 3.177 | PROV. TR GP-FBO KERSTIN RODRIGUEZ IRA | 10/20/2017 | \$50,000.00 | Investor Payment |
| | | | <u>\$50,000.00</u> | |
| 3.178 | PROV. TR GP-FBO MARCENE EUCIA IRA | 09/25/2017 | \$153,688.65 | Investor Payment |
| | | | <u>\$153,688.65</u> | |
| 3.179 | PROV. TR GP-FBO MARTHA C MACLEAN ROTH IRA | 11/22/2017 | \$49,000.00 | Investor Payment |
| | | | <u>\$49,000.00</u> | |
| 3.180 | PROV. TR GP-FBO MIKEL A COX IRA | 11/20/2017 | \$9,905.28 | Noteholder Interest |
| | | | <u>\$9,905.28</u> | |
| 3.181 | PROV. TR GP-FBO PEDDADA FT ICA | 11/06/2017 | \$146.25 | Noteholder Interest Investor Payment |
| | | 11/06/2017 | \$65,000.00 | |
| | | | <u>\$65,146.25</u> | |
| 3.182 | PROV. TR GP-FBO SHARON H CONNOR IRA | 10/13/2017 | \$80.00 | Noteholder Interest Investor Payment |
| | | 10/13/2017 | \$80,000.00 | |
| | | | <u>\$80,080.00</u> | |
| 3.183 | PROV. TR GP-FBO SHARON H CONNOR IRA | 10/03/2017 | \$58.50 | Noteholder Interest Investor Payment |
| | | 10/03/2017 | \$10,500.00 | |
| | | | <u>\$10,558.50</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|--------------------------------------|-----------------|-----------------------|--------------------------------|
| 3.184 PRO. R P O UAN R REN A IRA | 09/1/2017 | \$95.00 | Noteholder Interest |
| | 09/1/2017 | \$38,000.00 | Investor Payment |
| | | <u>\$38,095.00</u> | |
| 3.185 PRO. R P O HE PO ER RI IRA | 10/0/2017 | <u>\$50,000.00</u> | Investor Payment |
| | | \$50,000.00 | |
| 3.186 PRO. R P O HEO ORE CHAP IN IRA | 09/08/2017 | \$52.7 | Noteholder Interest |
| | 09/08/2017 | \$16,228.01 | Investor Payment |
| | | <u>\$16,280.75</u> | |
| 3.187 RAN IAN R | 09/06/2017 | \$50,000.00 | Investor Payment |
| | 09/15/2017 | \$69.17 | Noteholder Interest |
| | 09/15/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$295,69.17</u> | |
| 3.188 RAMSAY MCCUE | 09/07/2017 | \$15.83 | Noteholder Interest |
| | 10/04/2017 | \$145.83 | Noteholder Interest |
| | 10/23/2017 | \$35,000.00 | Investor Payment |
| | | <u>\$35,291.66</u> | |
| 3.189 RAN A C CHERY HUMI ON | 09/06/2017 | \$1.6 | Noteholder Interest |
| | 09/06/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,01.6</u> | |
| 3.190 RAN O PH A C UNE | 09/05/2017 | \$1,250.00 | Unitholder Interest |
| | 09/05/2017 | \$30,000.00 | Investor Payment |
| | 10/02/2017 | \$66.67 | Noteholder Interest |
| | 10/11/2017 | \$1,250.00 | Unitholder Interest |
| | 11/06/2017 | \$66.67 | Noteholder Interest |
| | 11/06/2017 | \$1,250.00 | Unitholder Interest |
| | | <u>\$3,683.3</u> | |
| 3.191 RAN Y E ER CHRE ER | 09/05/2017 | <u>\$7,583.33</u> | Noteholder Interest |
| | | \$7,583.33 | |
| 3.192 RAYMOND C & CYDNEI K BLACKBURN | 09/28/2017 | \$12,500.00 | Unitholder Interest |
| | 10/31/2017 | \$12,500.00 | Unitholder Interest |
| | | <u>\$25,000.00</u> | |
| 3.193 RE ECCA I I ER | 10/27/2017 | \$12.50 | Noteholder Interest |
| | 10/27/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,012.50</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|----------------------------------|-----------------|-----------------------|--------------------------------|
| 3.194 RESZEWSKI RLT DTD 11/18/14 | 09/07/2017 | \$206.25 | Noteholder Interest |
| | 10/03/2017 | \$206.25 | Noteholder Interest |
| | 10/25/2017 | \$41.25 | Noteholder Interest |
| | 11/07/2017 | \$45,000.00 | Investor Payment |
| | | <u>\$5,153.75</u> | |
| 3.195 RICHARD GLENN STEVENS TR | 09/05/2017 | \$111.58 | Noteholder Interest |
| | 10/02/2017 | \$26.74 | Noteholder Interest |
| | 10/02/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,118.32</u> | |
| 3.196 RICARDO CAHILL EEN HOMAN | 09/06/2017 | \$833.33 | Unitholder Interest |
| | 09/06/2017 | \$970.83 | Unitholder Interest |
| | 09/06/2017 | \$1,225.00 | Noteholder Interest |
| | 10/01/2017 | \$833.33 | Unitholder Interest |
| | 10/01/2017 | \$970.83 | Unitholder Interest |
| | 10/01/2017 | \$1,225.00 | Noteholder Interest |
| | 11/07/2017 | \$833.33 | Unitholder Interest |
| | 11/07/2017 | \$970.83 | Unitholder Interest |
| | 11/07/2017 | \$1,225.00 | Noteholder Interest |
| | | <u>\$9,087.18</u> | |
| 3.197 ROBERT REE | 09/07/2017 | \$101.17 | Noteholder Interest |
| | 09/15/2017 | \$101.17 | Noteholder Interest |
| | 09/27/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,202.34</u> | |
| 3.198 ROBERT E & NORMA J ROWE | 09/28/2017 | \$7,500.00 | Noteholder Interest |
| | 10/31/2017 | \$7,500.00 | Noteholder Interest |
| | | <u>\$15,000.00</u> | |
| 3.199 ROBERT ELMER | 09/28/2017 | \$114.58 | Noteholder Interest |
| | 11/09/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,114.58</u> | |
| 3.200 ROBERT ARNE R UENCHE | 10/18/2017 | \$806.18 | Noteholder Interest |
| | 10/18/2017 | \$219,865.19 | Investor Payment |
| | | <u>\$220,671.37</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|-----------------------------|-----------------|-----------------------|--------------------------------|
| 3.201 ROBERT L SCHATTNER TR | 09/28/2017 | \$604.17 | Noteholder Interest |
| | 09/28/2017 | \$604.17 | Noteholder Interest |
| | 09/28/2017 | \$625.00 | Noteholder Interest |
| | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 09/28/2017 | \$3,020.83 | Noteholder Interest |
| | 10/31/2017 | \$604.17 | Noteholder Interest |
| | 10/31/2017 | \$604.17 | Noteholder Interest |
| | 10/31/2017 | \$625.00 | Noteholder Interest |
| | 10/31/2017 | \$833.33 | Unitholder Interest |
| | 10/31/2017 | \$3,020.83 | Noteholder Interest |
| | | <u>\$11,375.00</u> | |
| 3.202 ROCA FUERTE LT | 09/28/2017 | \$175.00 | Noteholder Interest |
| | 10/20/2017 | \$35,000.00 | Investor Payment |
| | | <u>\$35,175.00</u> | |
| 3.203 RODNEY BLACK | 09/28/2017 | \$625.00 | Noteholder Interest |
| | 09/28/2017 | \$625.00 | Noteholder Interest |
| | 09/28/2017 | \$625.00 | Noteholder Interest |
| | 09/28/2017 | \$625.00 | Noteholder Interest |
| | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 09/28/2017 | \$833.33 | Unitholder Interest |
| | 10/31/2017 | \$625.00 | Noteholder Interest |
| | 10/31/2017 | \$625.00 | Noteholder Interest |
| | 10/31/2017 | \$625.00 | Noteholder Interest |
| | 10/31/2017 | \$625.00 | Noteholder Interest |
| | 10/31/2017 | \$625.00 | Noteholder Interest |
| | 10/31/2017 | \$625.00 | Unitholder Interest |
| | 10/31/2017 | \$833.33 | Unitholder Interest |
| | 10/31/2017 | \$833.33 | |
| | | <u>\$8,958.32</u> | |
| 3.204 RONALD COLE | 09/11/2017 | \$116.67 | Noteholder Interest |
| | 11/03/2017 | \$250.00 | Noteholder Interest |
| | 11/03/2017 | \$100,000.00 | Investor Payment |
| | | <u>\$100,666.67</u> | |
| 3.205 RONA RAPER | 10/16/2017 | \$58,000.00 | Investor Payment |
| | | <u>\$58,000.00</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|--|-----------------|-----------------------|--------------------------------|
| 3.206 ROSEWOOD CAPITAL INVESTMENTS INC | 09/28/2017 | \$416.67 | Noteholder Interest |
| | 09/28/2017 | \$833.33 | Noteholder Interest |
| | 09/28/2017 | \$2,006.48 | Noteholder Interest |
| | 10/24/2017 | \$733.33 | Noteholder Interest |
| | 10/31/2017 | \$412.50 | Noteholder Interest |
| | 10/31/2017 | \$416.67 | Noteholder Interest |
| | 10/31/2017 | \$833.33 | Noteholder Interest |
| | 10/31/2017 | \$1,833.33 | Noteholder Interest |
| | 10/31/2017 | \$2,006.48 | Noteholder Interest |
| | | <u>\$9,492.12</u> | |
| 3.207 ROXANNE SMITH DUENNEBEIL | 09/28/2017 | \$1,166.67 | Noteholder Interest |
| | 10/31/2017 | \$1,166.67 | Noteholder Interest |
| | 11/16/2017 | \$9,517.81 | Noteholder Interest |
| | | <u>\$11,851.15</u> | |
| 3.208 RU□□E□□ □ E□□Y □U□□□ | 09/06/2017 | \$291.67 | Noteholder Interest |
| | 10/26/2017 | \$50,000.00 | Investor Payment |
| | | <u>\$50,291.67</u> | |
| 3.209 □ PRIYA□AR□INI | 09/21/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,000.00</u> | |
| 3.210 □□EPHEN □A□□ 30.99□ 6601□11 | 09/05/2017 | \$□16.67 | Unitholder Interest |
| | 09/05/2017 | \$1,875.00 | Unitholder Interest |
| | 10/02/2017 | \$□16.67 | Unitholder Interest |
| | 10/02/2017 | \$1,875.00 | Unitholder Interest |
| | 11/06/2017 | \$□16.67 | Unitholder Interest |
| | 11/06/2017 | \$1,875.00 | Unitholder Interest |
| | | <u>\$6,875.01</u> | |
| 3.211 □UN□ E□□ □R □□□IE □ CAN□ER IRA | 09/05/2017 | \$250.00 | Noteholder Interest |
| | 09/05/2017 | \$967.50 | Noteholder Interest |
| | 09/05/2017 | \$1,085.00 | Noteholder Interest |
| | 10/06/2017 | \$250.00 | Noteholder Interest |
| | 10/06/2017 | \$967.50 | Noteholder Interest |
| | 10/06/2017 | \$1,085.00 | Noteholder Interest |
| | 11/06/2017 | \$250.00 | Noteholder Interest |
| | 11/06/2017 | \$967.50 | Noteholder Interest |
| | 11/06/2017 | \$1,085.00 | Noteholder Interest |
| | | <u>\$6,907.50</u> | |

Part 2.3

Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|------------------------------------|-----------------|-----------------------|--------------------------------|
| 3.212 UN E R CHAR E ROU EAU IRA | 09/05/2017 | \$750.00 | Noteholder Interest |
| | 09/05/2017 | \$1,85.00 | Noteholder Interest |
| | 10/06/2017 | \$750.00 | Noteholder Interest |
| | 10/06/2017 | \$1,85.00 | Noteholder Interest |
| | 11/06/2017 | \$750.00 | Noteholder Interest |
| | 11/06/2017 | \$1,85.00 | Noteholder Interest |
| | | \$6,705.00 | |
| 3.213 UN E R AME A MC ONA RO H IRA | 09/05/2017 | \$2,916.67 | Noteholder Interest |
| | 10/06/2017 | \$2,916.67 | Noteholder Interest |
| | 11/06/2017 | \$2,916.67 | Noteholder Interest |
| | | \$8,750.01 | |
| 3.214 U AN R AP AN | 09/05/2017 | \$1,000.00 | Noteholder Interest |
| | 10/0/2017 | \$3,000.00 | Noteholder Interest |
| | 10/31/2017 | \$3,000.00 | Noteholder Interest |
| | | \$7,000.00 | |
| 3.215 SYLVAN R & JEANNETTE E JUTTE | 09/15/2017 | \$312.50 | Noteholder Interest |
| | 10/17/2017 | \$312.50 | Noteholder Interest |
| | 11/01/2017 | \$75,000.00 | Investor Payment |
| | 11/07/2017 | \$125.00 | Noteholder Interest |
| | | \$75,750.00 | |
| 3.216 ERE A C HORN EC | 09/08/2017 | \$2,79.17 | Noteholder Interest |
| | 09/08/2017 | \$2,79.17 | Noteholder Interest |
| | 10/11/2017 | \$2,79.17 | Noteholder Interest |
| | 11/07/2017 | \$2,79.17 | Noteholder Interest |
| | | \$9,916.68 | |
| 3.217 EERRY E RI | 09/06/2017 | \$100.00 | Noteholder Interest |
| | 09/06/2017 | \$200,000.00 | Investor Payment |
| | | \$200,100.00 | |
| 3.218 HE EA RICE A O HO IAN R | 09/05/2017 | \$1,312.50 | Noteholder Interest |
| | 10/02/2017 | \$1,312.50 | Noteholder Interest |
| | 11/03/2017 | \$1,312.50 | Noteholder Interest |
| | 11/20/2017 | \$3,52.05 | Noteholder Interest |
| | | \$7,389.55 | |
| 3.219 THE CONNER LT | 09/28/2017 | \$1,166.67 | Noteholder Interest |
| | 09/28/2017 | \$4,583.33 | Unitholder Interest |
| | 10/31/2017 | \$1,166.67 | Noteholder Interest |
| | 10/31/2017 | \$4,583.33 | Unitholder Interest |
| | | \$11,500.00 | |

Part 2.3

Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case

| | Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|-------|---|-----------------|-----------------------|--------------------------------|
| 3.220 | THE GARY LEE FITE RT DTD 10/13/09 | 09/28/2017 | \$5,833.33 | Noteholder Interest |
| | | 10/31/2017 | \$5,833.33 | Noteholder Interest |
| | | | <u>\$11,666.66</u> | |
| 3.221 | THE MARIE ELIZABETH O'BRIEN TR | 09/28/2017 | \$333.33 | Noteholder Interest |
| | | 09/28/2017 | \$3,000.00 | Noteholder Interest |
| | | 10/31/2017 | \$333.33 | Noteholder Interest |
| | | 10/31/2017 | \$3,000.00 | Noteholder Interest |
| | | | <u>\$6,666.66</u> | |
| 3.222 | THE NANCY RAUN R 06/21/10 | 09/28/2017 | \$35.00 | Noteholder Interest |
| | | 09/28/2017 | \$70,000.00 | Investor Payment |
| | | | <u>\$70,035.00</u> | |
| 3.223 | THE PATRICIA D HINKLEY LT | 09/28/2017 | \$3,333.33 | Unitholder Interest |
| | | 10/31/2017 | \$3,333.33 | Unitholder Interest |
| | | | <u>\$6,666.66</u> | |
| 3.224 | THE PEPPER A HERINE ANER ER R | 09/18/2017 | \$25,000.00 | Investor Payment |
| | | | <u>\$25,000.00</u> | |
| 3.225 | THE RONALD E MYRICK SR RLT DTD 02/12/07 | 09/28/2017 | \$1,416.67 | Noteholder Interest |
| | | 09/28/2017 | \$3,000.00 | Noteholder Interest |
| | | 10/31/2017 | \$1,416.67 | Noteholder Interest |
| | | 10/31/2017 | \$3,000.00 | Noteholder Interest |
| | | | <u>\$8,833.34</u> | |
| 3.226 | THE SANDRA SAWYER RT UAD AMND 06/13/08 | 09/05/2017 | \$23.00 | Noteholder Interest |
| | | 09/05/2017 | \$300.00 | Noteholder Interest |
| | | 09/05/2017 | \$360.80 | Noteholder Interest |
| | | 09/05/2017 | \$1,581.25 | Noteholder Interest |
| | | 10/10/2017 | \$243.40 | Noteholder Interest |
| | | 10/10/2017 | \$300.00 | Noteholder Interest |
| | | 10/10/2017 | \$360.80 | Noteholder Interest |
| | | 10/10/2017 | \$1,581.25 | Noteholder Interest |
| | | 11/06/2017 | \$243.40 | Noteholder Interest |
| | | 11/06/2017 | \$300.00 | Noteholder Interest |
| | | 11/06/2017 | \$360.80 | Noteholder Interest |
| | | 11/07/2017 | \$316.25 | Noteholder Interest |
| | | 11/07/2017 | \$345,000.00 | Investor Payment |
| | | | <u>\$351,191.35</u> | |
| 3.227 | HOMAS EORIA RAMUEN | 11/09/2017 | \$250,000.00 | Investor Payment |
| | | | <u>\$250,000.00</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|------------------------------------|-----------------|-----------------------|--------------------------------|
| 3.228 THOMAS W RICHARDSON | 09/07/2017 | \$10,117 | Noteholder Interest |
| | 10/10/2017 | \$104.17 | Noteholder Interest |
| | 11/20/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,208.37</u> | |
| 3.229 IMO HY P AREN EC | 09/07/2017 | \$875.00 | Noteholder Interest |
| | 09/07/2017 | \$1,111.11 | Noteholder Interest |
| | 10/20/2017 | \$875.00 | Noteholder Interest |
| | 10/20/2017 | \$1,666.67 | Noteholder Interest |
| | 11/07/2017 | \$875.00 | Noteholder Interest |
| | 11/07/2017 | \$1,666.67 | Noteholder Interest |
| | | <u>\$7,069.15</u> | |
| 3.230 TODD A MCFOY | 09/28/2017 | \$2,500.00 | Unitholder Interest |
| | 09/28/2017 | \$3,333.33 | Noteholder Interest |
| | 10/31/2017 | \$2,500.00 | Unitholder Interest |
| | 10/31/2017 | \$3,333.33 | Noteholder Interest |
| | 11/16/2017 | \$24,123.29 | Noteholder Interest |
| | | <u>\$35,789.95</u> | |
| 3.231 USAMA SABRY AWAD HALIM | 09/28/2017 | \$1,500.00 | Unitholder Interest |
| | 09/28/2017 | \$1,750.00 | Unitholder Interest |
| | 10/31/2017 | \$1,500.00 | Unitholder Interest |
| | 10/31/2017 | \$1,750.00 | Unitholder Interest |
| | | <u>\$6,500.00</u> | |
| 3.232 EERNON AO | 09/11/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,000.00</u> | |
| 3.233 VERTEX PROPERTY HOLDINGS LLC | 09/28/2017 | \$5,000.00 | Unitholder Interest |
| | 10/31/2017 | \$5,000.00 | Unitholder Interest |
| | | <u>\$10,000.00</u> | |
| 3.234 ICORIA ENGINE 198 R | 09/08/2017 | \$1,666.67 | Unitholder Interest |
| | 09/08/2017 | \$1,666.67 | Unitholder Interest |
| | 10/19/2017 | \$1,666.67 | Unitholder Interest |
| | 11/20/2017 | \$1,666.67 | Unitholder Interest |
| | | <u>\$6,666.68</u> | |
| 3.235 IOE IINA A | 10/02/2017 | \$25,000.00 | Investor Payment |
| | | <u>\$25,000.00</u> | |

Part 2.3**Attachment 2.3 - Certain payments or transfers to creditors within 90 days before filing this case**

| Creditor name and address | Date of Payment | Total amount or value | Reason for payment or transfer |
|--|-----------------|-----------------------|--------------------------------|
| 3.236 <input type="checkbox"/> EI <input type="checkbox"/> EI <input type="checkbox"/> HAO | 09/05/2017 | \$1,200.00 | Noteholder Interest |
| | 10/01/2017 | \$1,800.00 | Noteholder Interest |
| | 10/01/2017 | \$1,800.00 | Noteholder Interest |
| | 11/16/2017 | \$1,800.00 | Noteholder Interest |
| | | <u>\$6,600.00</u> | |
| 3.237 <input type="checkbox"/> E <input type="checkbox"/> EY <input type="checkbox"/> HU | 09/13/2017 | \$250.00 | Noteholder Interest |
| | 10/05/2017 | \$50,000.00 | Investor Payment |
| | | <u>\$50,250.00</u> | |
| 3.238 <input type="checkbox"/> I <input type="checkbox"/> EY R <input type="checkbox"/> R <input type="checkbox"/> E <input type="checkbox"/> ORAH <input type="checkbox"/> RAN <input type="checkbox"/> IN 1998 R | 09/22/2017 | \$165.28 | Noteholder Interest |
| | 09/22/2017 | \$70,000.00 | Investor Payment |
| | | <u>\$70,165.28</u> | |
| 3.239 <input type="checkbox"/> I <input type="checkbox"/> IAM <input type="checkbox"/> A <input type="checkbox"/> AREN <input type="checkbox"/> I <input type="checkbox"/> ER | 09/22/2017 | \$2,500.00 | Unitholder Interest |
| | 10/06/2017 | \$2,500.00 | Unitholder Interest |
| | 11/08/2017 | \$2,500.00 | Unitholder Interest |
| | | <u>\$7,500.00</u> | |
| 3.240 WILLIAM D HENRY | 09/06/2017 | \$708.33 | Noteholder Interest |
| | 09/06/2017 | \$1,333.33 | Noteholder Interest |
| | 09/06/2017 | \$1,666.67 | Noteholder Interest |
| | 10/05/2017 | \$708.33 | Noteholder Interest |
| | 10/05/2017 | \$1,333.33 | Noteholder Interest |
| | 10/05/2017 | \$1,666.67 | Noteholder Interest |
| | 11/06/2017 | \$708.33 | Noteholder Interest |
| | 11/06/2017 | \$1,333.33 | Noteholder Interest |
| | 11/06/2017 | \$1,666.67 | Noteholder Interest |
| | | <u>\$11,121.99</u> | |
| 3.241 <input type="checkbox"/> I <input type="checkbox"/> IAM <input type="checkbox"/> A <input type="checkbox"/> PA <input type="checkbox"/> RICIA A <input type="checkbox"/> OPP | 09/15/2017 | \$99.31 | Noteholder Interest |
| | 09/15/2017 | \$55,000.00 | Investor Payment |
| | | <u>\$55,099.31</u> | |

Debtor: **Woodbridge Mortgage Investment Fund 3a, LLC**Case Number: **17-12780 (KJC)****Attachment Part 3.7:****Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits**

| | Case Title | Case Number | Nature of Case | Court or Agency's Name and Address | Status of Case |
|-----|--|--|--|---|----------------|
| 7.1 | In re: WMF Management, LLC, Woodbridge Group of Companies, LLC, Woodbridge Mortgage Investment Fund 1, LLC, Woodbridge Mortgage Investment Fund 2, LLC, Woodbridge Mortgage Investment Fund 3, LLC, Woodbridge Mortgage Investment Fund 3A, LLC, Robert H. Shapiro, Robert W. Carfagno, Senior and Debra L. Carfagno, AIO Financial LLC, William M. Holliday and Guadalupe A. Holliday | S-20988A-16-0354 | Temporary Order to Cease and Desist Offer or Sales of Unregistered Securities Sales by Unregistered Dealers or Salesmen Fraud in Connection with the Offer of Sale of Securities | Arizona Corporation Commission, Securities Division 1300 W Washington St, 3rd Floor Phoenix, AZ 85007 | Pending |
| 7.2 | In re: Woodbridge Mortgage Investment Fund 1, LLC, Woodbridge Mortgage Investment Fund 2, LLC, Woodbridge Mortgage Investment Fund 3, LLC, Woodbridge Mortgage Investment Fund 3a, LLC, Woodbridge Mortgage Investment Fund 4, LLC, Woodbridge Structured Funding, LLC | 332973, 332976, 332977, 332978, 332979, 332980 | Temporary Order to Cease and Desist Offer or Sales of Unregistered Securities and Order to Show Cause | Michigan Corporations, Securities & Commercial Licensing Bureau 2501 Woodlake Circle Okemos, MI 48864 | Pending |
| 7.3 | In re: Woodbridge Group of Companies, LLC, WMF Management, LLC, Woodbridge Structured Funding, LLC, et al. | 21413 | Securities Regulations Inquiries | California Department of Business Oversight Securities Regulation Division | Pending |
| 7.4 | In re: Woodbridge Mortgage Investment Fund 1, LLC, Woodbridge Mortgage Investment Fund 2, LLC, Woodbridge Mortgage Investment Fund 3, LLC, Woodbridge Mortgage Investment Fund 3a, LLC, Woodbridge Mortgage Investment Fund 4, LLC | Docket 2017-7-15 | Securities Regulations Inquiries | Idaho Department of Finance Securities Bureau 800 Park Blvd., Suite 200 Boise, ID 83720-0031 | Pending |
| 7.5 | In re: Woodbridge Mortgage Investment Fund 1, LLC, Woodbridge Mortgage Investment Fund 2, LLC, Woodbridge Mortgage Investment Fund 3, LLC, Woodbridge Mortgage Investment Fund 3a, LLC, Woodbridge Mortgage Investment Fund 4, LLC | Subp 17-12858-1 | Securities Regulations Inquiries | State of Maine Department of Professional & Financial Regulation Office of Securities 35 State House Station Augusta, ME 04333 | Pending |
| 7.6 | In re: Woodbridge Mortgage Investment Fund 1, LLC, Woodbridge Mortgage Investment Fund 2, LLC, Woodbridge Mortgage Investment Fund 3, LLC, Woodbridge Mortgage Investment Fund 3a, LLC, Woodbridge | | Securities Regulations Inquiries | New Jersey Bureau of Securities Office of the NJ Attorney General 153 Halsey Street, 6th Floor Newark, NJ 07102 | Pending |

Debtor: **Woodbridge Mortgage Investment Fund 3a, LLC**Case Number: **17-12780 (KJC)****Attachment Part 3.7:****Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits**

| | Case Title | Case Number | Nature of Case | Court or Agency's Name and Address | Status of Case |
|-------------|---|-----------------------------|--|--|----------------|
| | Commercial Bridge Loan Fund 1, LLC et al. | | | | |
| 7.7 | In re: Woodbridge Mortgage Investment Fund 1, LLC, Woodbridge Mortgage Investment Fund 2, LLC, Woodbridge Mortgage Investment Fund 3, LLC, Woodbridge Mortgage Investment Fund 3a, LLC, Woodbridge Mortgage Investment Fund 4, LLC, WMF Management, LLC, and Woodbridge Group of Companies, LLC | S-17-0129 | Securities Regulations Inquiries | State of Oregon Division of Financial Regulation 350 Winter St. NE, Room 410 Salem, OR 97309 | Pending |
| 7.8 | In re: Woodbridge Mortgage Investment Fund 2, LLC, Woodbridge Mortgage Investment Fund 3, LLC, Woodbridge Mortgage Investment Fund 3a, LLC, Woodbridge Mortgage Investment Fund 4, LLC | Order No. S-17-2296-18-CO01 | Securities Regulations Inquiries | State of Washington Department of Financial Institutions | Pending |
| 7.9 | SEC v. Woodbridge Group of Companies, LLC | 1:17-cv-22665-CMA | Discovery Dispute in Connection with Investigation | U.S. District Court - Southern District of Florida (Miami) C. Clyde Atkins US Courthouse 301 North Miami Ave, Room 150 Miami, FL 33128 | Concluded |
| 7.10 | In re: Woodbridge Group of Companies, LLC et al. | | Securities Regulations Inquiries | US Securities and Exchange Commission 801 Brickell Avenue, Suite 1800 Miami, FL 33131 | Pending |
| 7.11 | In re: Woodbridge Group of Companies, LLC, Woodbridge Mortgage Investment Fund 1, LLC, Woodbridge Mortgage Investment Fund 2, LLC, Woodbridge Mortgage Investment Fund 3, LLC, Woodbridge Mortgage Investment Fund 3A, LLC, Ronald E. Caskey, Timothy C. McGuire, James E. Campbell, Jr. | 2017 CDS 052 | Order to Show Cause in Connection with the Offering and Selling of Securities in the State of Colorado | Colorado Office of Administrative Courts 1525 Sherman St Denver, CO 80203 | Pending |

Debtor: Woodbridge Mortgage Investment Fund 3a, LLC

Case Number: 17-12780 (KJC)

Attachment Part 13.30:**Payments, distributions, or withdrawals credited or given to insiders**

| | Name and Address of Recipient | Relationship to Debtor | Amount of Money or Description and Value of Property | Date | Reason for Providing the Value |
|------|---|------------------------|--|----------|--------------------------------|
| 30.1 | Woodbridge Group of Companies, LLC 14140 Ventura Blvd, Suite 302 Sherman Oaks, CA 91423 | Related Entity | \$200,000.00 | 12/05/16 | Funds Transfer |
| | | | \$500,000.00 | 12/05/16 | Funds Transfer |
| | | | \$500,000.00 | 12/06/16 | Funds Transfer |
| | | | \$240,000.00 | 12/07/16 | Funds Transfer |
| | | | \$300,000.00 | 12/07/16 | Funds Transfer |
| | | | \$500,000.00 | 12/07/16 | Funds Transfer |
| | | | \$600,000.00 | 12/07/16 | Funds Transfer |
| | | | \$400,000.00 | 12/12/16 | Funds Transfer |
| | | | \$750,000.00 | 12/14/16 | Funds Transfer |
| | | | \$1,400,000.00 | 12/15/16 | Funds Transfer |
| | | | \$1,300,000.00 | 12/16/16 | Funds Transfer |
| | | | \$1,000,000.00 | 12/19/16 | Funds Transfer |
| | | | \$250,000.00 | 12/23/16 | Funds Transfer |
| | | | \$600,000.00 | 12/23/16 | Funds Transfer |
| | | | \$1,000,000.00 | 12/23/16 | Funds Transfer |
| | | | \$1,000,000.00 | 12/23/16 | Funds Transfer |
| | | | \$1,000,000.00 | 12/27/16 | Funds Transfer |
| | | | \$1,000,000.00 | 12/28/16 | Funds Transfer |
| | | | \$1,000,000.00 | 12/29/16 | Funds Transfer |
| | | | \$1,250,000.00 | 12/30/16 | Funds Transfer |
| | | | \$900,000.00 | 01/03/17 | Funds Transfer |
| | | | \$350,000.00 | 01/04/17 | Funds Transfer |
| | | | \$1,000,000.00 | 01/05/17 | Funds Transfer |
| | | | \$500,000.00 | 01/12/17 | Funds Transfer |
| | | | \$1,000,000.00 | 01/13/17 | Funds Transfer |
| | | | \$1,000,000.00 | 01/13/17 | Funds Transfer |
| | | | \$300,000.00 | 01/17/17 | Funds Transfer |
| | | | \$500,000.00 | 01/18/17 | Funds Transfer |
| | | | \$500,000.00 | 01/19/17 | Funds Transfer |
| | | | \$300,000.00 | 01/23/17 | Funds Transfer |
| | | | \$300,000.00 | 01/26/17 | Funds Transfer |
| | | | \$100,000.00 | 02/02/17 | Funds Transfer |
| | | | \$100,000.00 | 02/03/17 | Funds Transfer |
| | | | \$500,000.00 | 02/03/17 | Funds Transfer |
| | | | \$200,000.00 | 02/06/17 | Funds Transfer |
| | | | \$750,000.00 | 02/13/17 | Funds Transfer |
| | | | \$500,000.00 | 02/14/17 | Funds Transfer |
| | | | \$200,000.00 | 02/15/17 | Funds Transfer |
| | | | \$750,000.00 | 02/16/17 | Funds Transfer |
| | | | \$600,000.00 | 02/17/17 | Funds Transfer |
| | | | \$100,000.00 | 02/21/17 | Funds Transfer |
| | | | \$1,000,000.00 | 04/11/17 | Funds Transfer |
| | | | \$500,000.00 | 04/12/17 | Funds Transfer |
| | | | \$1,000,000.00 | 04/14/17 | Funds Transfer |
| | | | \$400,000.00 | 04/17/17 | Funds Transfer |

Debtor: Woodbridge Mortgage Investment Fund 3a, LLC

Case Number: 17-12780 (KJC)

Attachment Part 13.30:**Payments, distributions, or withdrawals credited or given to insiders**

| Name and Address of Recipient | Relationship to Debtor | Amount of Money or Description and Value of Property | Date | Reason for Providing the Value |
|--|------------------------|--|----------|--------------------------------|
| Woodbridge Group of Companies, LLC (Continued) | | \$500,000.00 | 04/17/17 | Funds Transfer |
| | | \$200,000.00 | 04/18/17 | Funds Transfer |
| | | \$300,000.00 | 04/19/17 | Funds Transfer |
| | | \$400,000.00 | 04/19/17 | Funds Transfer |
| | | \$1,600,000.00 | 04/21/17 | Funds Transfer |
| | | \$500,000.00 | 04/24/17 | Funds Transfer |
| | | \$600,000.00 | 04/24/17 | Funds Transfer |
| | | \$1,000,000.00 | 04/25/17 | Funds Transfer |
| | | \$300,000.00 | 04/26/17 | Funds Transfer |
| | | \$800,000.00 | 04/27/17 | Funds Transfer |
| | | \$300,000.00 | 04/28/17 | Funds Transfer |
| | | \$100,000.00 | 05/01/17 | Funds Transfer |
| | | \$400,000.00 | 05/02/17 | Funds Transfer |
| | | \$100,000.00 | 05/04/17 | Funds Transfer |
| | | \$200,000.00 | 05/05/17 | Funds Transfer |
| | | \$1,000,000.00 | 05/12/17 | Funds Transfer |
| | | \$500,000.00 | 05/25/17 | Funds Transfer |
| | | \$200,000.00 | 06/06/17 | Funds Transfer |
| | | \$300,000.00 | 06/07/17 | Funds Transfer |
| | | \$150,000.00 | 06/12/17 | Funds Transfer |
| | | \$300,000.00 | 06/12/17 | Funds Transfer |
| | | \$250,000.00 | 06/22/17 | Funds Transfer |
| | | \$500,000.00 | 06/30/17 | Funds Transfer |
| | | \$200,000.00 | 07/03/17 | Funds Transfer |
| | | \$300,000.00 | 07/03/17 | Funds Transfer |
| | | \$300,000.00 | 07/06/17 | Funds Transfer |
| | | \$500,000.00 | 07/07/17 | Funds Transfer |
| | | \$500,000.00 | 07/07/17 | Funds Transfer |
| | | \$500,000.00 | 07/10/17 | Funds Transfer |
| | | \$400,000.00 | 07/13/17 | Funds Transfer |
| | | \$400,000.00 | 07/13/17 | Funds Transfer |
| | | \$500,000.00 | 07/13/17 | Funds Transfer |
| | | \$1,500,000.00 | 07/14/17 | Funds Transfer |
| | | \$750,000.00 | 07/18/17 | Funds Transfer |
| | | \$200,000.00 | 07/20/17 | Funds Transfer |
| | | \$750,000.00 | 07/20/17 | Funds Transfer |
| | | \$600,000.00 | 07/21/17 | Funds Transfer |
| | | \$400,000.00 | 07/24/17 | Funds Transfer |
| | | \$300,000.00 | 07/25/17 | Funds Transfer |
| | | \$250,000.00 | 07/27/17 | Funds Transfer |
| | | \$100,000.00 | 08/01/17 | Funds Transfer |
| | | \$200,000.00 | 08/01/17 | Funds Transfer |
| | | \$200,000.00 | 08/01/17 | Funds Transfer |
| | | \$10,000.00 | 08/02/17 | Funds Transfer |

Debtor: Woodbridge Mortgage Investment Fund 3a, LLC

Case Number: 17-12780 (KJC)

Attachment Part 13.30:**Payments, distributions, or withdrawals credited or given to insiders**

| Name and Address of Recipient | Relationship to Debtor | Amount of Money or Description and Value of Property | Date | Reason for Providing the Value |
|--|------------------------|--|----------|--------------------------------|
| Woodbridge Group of Companies, LLC (Continued) | | \$300,000.00 | 08/03/17 | Funds Transfer |
| | | \$150,000.00 | 08/04/17 | Funds Transfer |
| | | \$600,000.00 | 08/07/17 | Funds Transfer |
| | | \$400,000.00 | 08/08/17 | Funds Transfer |
| | | \$400,000.00 | 08/08/17 | Funds Transfer |
| | | \$350,000.00 | 08/09/17 | Funds Transfer |
| | | \$300,000.00 | 08/10/17 | Funds Transfer |
| | | \$300,000.00 | 08/11/17 | Funds Transfer |
| | | \$250,000.00 | 08/14/17 | Funds Transfer |
| | | \$500,000.00 | 08/16/17 | Funds Transfer |
| | | \$1,150,000.00 | 08/21/17 | Funds Transfer |
| | | \$500,000.00 | 08/22/17 | Funds Transfer |
| | | \$300,000.00 | 08/23/17 | Funds Transfer |
| | | \$250,000.00 | 08/24/17 | Funds Transfer |
| | | \$300,000.00 | 08/25/17 | Funds Transfer |
| | | \$500,000.00 | 08/28/17 | Funds Transfer |
| | | \$700,000.00 | 08/29/17 | Funds Transfer |
| | | \$1,000,000.00 | 08/30/17 | Funds Transfer |
| | | \$2,500,000.00 | 08/30/17 | Funds Transfer |
| | | \$5,000,000.00 | 08/30/17 | Funds Transfer |
| | | \$2,000,000.00 | 08/31/17 | Funds Transfer |
| | | \$600,000.00 | 09/01/17 | Funds Transfer |
| | | \$1,000,000.00 | 09/05/17 | Funds Transfer |
| | | \$200,000.00 | 09/06/17 | Funds Transfer |
| | | \$500,000.00 | 09/06/17 | Funds Transfer |
| | | \$500,000.00 | 09/07/17 | Funds Transfer |
| | | \$500,000.00 | 09/08/17 | Funds Transfer |
| | | \$500,000.00 | 09/11/17 | Funds Transfer |
| | | \$500,000.00 | 09/12/17 | Funds Transfer |
| | | \$300,000.00 | 09/13/17 | Funds Transfer |
| | | \$500,000.00 | 09/14/17 | Funds Transfer |
| | | \$300,000.00 | 09/15/17 | Funds Transfer |
| | | \$500,000.00 | 09/15/17 | Funds Transfer |
| | | \$100,000.00 | 09/18/17 | Funds Transfer |
| | | \$400,000.00 | 09/21/17 | Funds Transfer |
| | | \$400,000.00 | 09/22/17 | Funds Transfer |
| | | \$300,000.00 | 09/28/17 | Funds Transfer |
| | | \$25,000.00 | 10/02/17 | Funds Transfer |
| | | \$1,000,000.00 | 11/01/17 | Funds Transfer |
| | | \$2,000,000.00 | 12/01/17 | Funds Transfer |
| | | \$579,000.00 | 12/04/17 | Funds Transfer |
| | | <u>\$75,954,000.00</u> | | |

Debtor: Woodbridge Mortgage Investment Fund 3a, LLC

Case Number: 17-12780 (KJC)

Attachment Part 13.30:**Payments, distributions, or withdrawals credited or given to insiders**

| | Name and Address of Recipient | Relationship to Debtor | Amount of Money or Description and Value of Property | Date | Reason for Providing the Value |
|------|-------------------------------|---|--|----------|--------------------------------|
| 30.2 | Ironbridge Asset Fund 1, LLC | Entity of Relative of Brother-in-Law of Managing Director of Related Entity | \$1,250.00 | 12/30/16 | Unitholder Interest Payment |
| | | | \$2,083.33 | 12/30/16 | Unitholder Interest Payment |
| | | | \$2,500.00 | 12/30/16 | Unitholder Interest Payment |
| | | | \$2,500.00 | 12/30/16 | Unitholder Interest Payment |
| | | | \$1,250.00 | 01/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 01/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 01/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 01/31/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 02/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 02/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 02/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 02/28/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 03/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 03/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 03/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 03/31/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 04/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 04/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 04/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 04/28/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 05/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 05/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 05/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 05/31/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 06/30/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 06/30/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 06/30/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 06/30/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 07/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 07/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 07/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 07/28/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 08/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 08/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 08/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 08/31/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 09/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 09/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 09/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 09/28/17 | Unitholder Interest Payment |
| | | | \$1,250.00 | 10/31/17 | Unitholder Interest Payment |

Debtor: Woodbridge Mortgage Investment Fund 3a, LLC

Case Number: 17-12780 (KJC)

Attachment Part 13.30:**Payments, distributions, or withdrawals credited or given to insiders**

| Name and Address of Recipient | | Relationship to Debtor | Amount of Money or Description and Value of Property | Date | Reason for Providing the Value |
|--|------------------------------|---|--|----------|--------------------------------|
| Ironbridge Asset Fund 1, LLC (Continued) | | | \$2,083.33 | 10/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 10/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 10/31/17 | Unitholder Interest Payment |
| | | | <u>\$91,666.63</u> | | |
| 30.3 | Ironbridge Asset Fund 2, LLC | Entity of Relative of Brother-in-Law of Managing Director of Related Entity | \$1,041.67 | 12/30/16 | Unitholder Interest Payment |
| | | | \$2,083.33 | 12/30/16 | Unitholder Interest Payment |
| | | | \$2,083.33 | 12/30/16 | Unitholder Interest Payment |
| | | | \$2,083.33 | 12/30/16 | Unitholder Interest Payment |
| | | | \$2,500.00 | 12/30/16 | Unitholder Interest Payment |
| | | | \$2,500.00 | 12/30/16 | Unitholder Interest Payment |
| | | | \$4,166.67 | 12/30/16 | Unitholder Interest Payment |
| | | | \$7,500.00 | 12/30/16 | Unitholder Interest Payment |
| | | | \$1,041.67 | 01/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 01/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 01/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 01/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 01/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 01/31/17 | Unitholder Interest Payment |
| | | | \$4,166.67 | 01/31/17 | Unitholder Interest Payment |
| | | | \$7,500.00 | 01/31/17 | Unitholder Interest Payment |
| | | | \$1,041.67 | 02/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 02/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 02/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 02/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 02/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 02/28/17 | Unitholder Interest Payment |
| | | | \$4,166.67 | 02/28/17 | Unitholder Interest Payment |
| | | | \$7,500.00 | 02/28/17 | Unitholder Interest Payment |
| | | | \$1,041.67 | 03/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 03/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 03/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 03/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 03/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 03/31/17 | Unitholder Interest Payment |
| | | | \$4,166.67 | 03/31/17 | Unitholder Interest Payment |
| | | | \$7,500.00 | 03/31/17 | Unitholder Interest Payment |
| | | | \$1,041.67 | 04/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 04/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 04/28/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 04/28/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 04/28/17 | Unitholder Interest Payment |

Debtor: Woodbridge Mortgage Investment Fund 3a, LLC

Case Number: 17-12780 (KJC)

Attachment Part 13.30:**Payments, distributions, or withdrawals credited or given to insiders**

| Name and Address of Recipient | Relationship to Debtor | Amount of Money or Description and Value of Property | Date | Reason for Providing the Value |
|--|------------------------|--|----------|--------------------------------|
| Ironbridge Asset Fund 2, LLC (Continued) | | \$2,500.00 | 04/28/17 | Unitholder Interest Payment |
| | | \$4,166.67 | 04/28/17 | Unitholder Interest Payment |
| | | \$7,500.00 | 04/28/17 | Unitholder Interest Payment |
| | | \$1,041.67 | 05/31/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 05/31/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 05/31/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 05/31/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 05/31/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 05/31/17 | Unitholder Interest Payment |
| | | \$4,166.67 | 05/31/17 | Unitholder Interest Payment |
| | | \$7,500.00 | 05/31/17 | Unitholder Interest Payment |
| | | \$1,041.67 | 06/30/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 06/30/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 06/30/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 06/30/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 06/30/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 06/30/17 | Unitholder Interest Payment |
| | | \$4,166.67 | 06/30/17 | Unitholder Interest Payment |
| | | \$7,500.00 | 06/30/17 | Unitholder Interest Payment |
| | | \$1,041.67 | 07/28/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 07/28/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 07/28/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 07/28/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 07/28/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 07/28/17 | Unitholder Interest Payment |
| | | \$4,166.67 | 07/28/17 | Unitholder Interest Payment |
| | | \$7,500.00 | 07/28/17 | Unitholder Interest Payment |
| | | \$1,041.67 | 08/31/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 08/31/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 08/31/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 08/31/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 08/31/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 08/31/17 | Unitholder Interest Payment |
| | | \$4,166.67 | 08/31/17 | Unitholder Interest Payment |
| | | \$7,500.00 | 08/31/17 | Unitholder Interest Payment |
| | | \$1,041.67 | 09/28/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 09/28/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 09/28/17 | Unitholder Interest Payment |
| | | \$2,083.33 | 09/28/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 09/28/17 | Unitholder Interest Payment |
| | | \$2,500.00 | 09/28/17 | Unitholder Interest Payment |
| | | \$4,166.67 | 09/28/17 | Unitholder Interest Payment |

Debtor: Woodbridge Mortgage Investment Fund 3a, LLC

Case Number: 17-12780 (KJC)

Attachment Part 13.30:**Payments, distributions, or withdrawals credited or given to insiders**

| Name and Address of Recipient | | Relationship to Debtor | Amount of Money or Description and Value of Property | Date | Reason for Providing the Value |
|--|----------------------------|---|--|----------|--------------------------------|
| Ironbridge Asset Fund 2, LLC (Continued) | | | \$7,500.00 | 09/28/17 | Unitholder Interest Payment |
| | | | \$1,041.67 | 10/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 10/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 10/31/17 | Unitholder Interest Payment |
| | | | \$2,083.33 | 10/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 10/31/17 | Unitholder Interest Payment |
| | | | \$2,500.00 | 10/31/17 | Unitholder Interest Payment |
| | | | \$4,166.67 | 10/31/17 | Unitholder Interest Payment |
| | | | \$7,500.00 | 10/31/17 | Unitholder Interest Payment |
| | | | <u>\$263,541.63</u> | | |
| 30.4 | Ironbridge Asset Fund, LLC | Entity of Relative of Brother-in-Law of Managing Director of Related Entity | \$20,808.33 | 12/30/16 | Unitholder Interest Payment |
| | | | \$20,808.33 | 01/31/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 02/28/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 03/31/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 04/28/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 05/31/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 06/30/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 07/28/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 08/31/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 09/28/17 | Unitholder Interest Payment |
| | | | \$20,808.33 | 10/31/17 | Unitholder Interest Payment |
| | | | <u>\$228,891.63</u> | | |